



NOTICE OF LOMPICO ASSESSMENT DISTRICT OVERSIGHT COMMITTEE MEETING

Responsible for review of matters of revenue and expenses directly related to Assessment District 2016-1 projects. To serve as liaison between the Lompico Assessment District Customers and the District.

NOTICE IS HEREBY GIVEN that the San Lorenzo Valley Water District has called a meeting of the LADOC to be held Tuesday, August 27, 2019 at 5:30 pm at the Operations Building, 13057 Highway 9, Boulder Creek, California.

AGENDA

1. Convene Meeting/Roll Call
2. Oral Communications
This portion of the agenda is reserved for Oral Communications by the public for items which are not on the Agenda. Please understand that California law (The Brown Act) limits what the Committee can do regarding issues raised during Oral Communication. No action or discussion may occur on issues outside of those already listed on today's agenda. Any person may address the Committee at this time, on any subject that lies within the jurisdiction of this committee. Normally, presentations must not exceed five (5) minutes in length, and individuals may only speak once during Oral Communications.
3. Old Business:
Members of the public will be given the opportunity to address each scheduled item prior to Committee action. The Chairperson of the Committee may establish a time limit for members of the public to address the Committee on agenda items.
 - A. ANNUAL REPORTS - June 1, 2016 - June 30, 2019
Discussion and possible action by the Committee regarding the format, design and content of the Financial Report.
 - B. MINUTES FROM 7.16.19 WORKSHOP MEETING
Discussion and possible action by the Committee regarding the minutes from 7.16.19.
4. New Business:
Members of the public will be given the opportunity to address each scheduled item prior to Committee action. The Chairperson of the Committee may establish a time limit for members of the public to address the Committee on agenda items.
 - A. WELCOME NEW LADOC MEMBER
Welcome Norm Hagen to the Committee.
 - B. WELCOME PACKET AND TRAINING FOR NEW COMMITTEE MEMBERS
Discussion and possible action by the Committee regarding a welcome packet and training for new Committee members.
 - C. QUARTERLY LADOC FINANCIAL REPORT
Discussion by the Committee regarding the 4th Quarter 2018-19 LADOC financial report presented by S. Hill.

- D. QUARTERLY LADOC PROJECT UPDATE
Discussion by the Committee regarding the 4th Quarter 2018-19 project update presented verbally by R. Rogers.
 - E. SUMMARY OF ASSESSEMENT DISTRICT QUARTERLY FINANCE REPORT FOR BOARD OF DIRECTORS MEETING.
Discussion by the Committee regarding adding a summary of the Assessment District Quarterly Report to the BoD meeting for discussion and review.
 - F. REQUEST SUSPENSION OF BROWN ACT REQUIREMENTS FOR ANNUAL REPORT COLLABORATION
Discussion by the Committee regarding request to suspend Brown Act requirements while working on the annual report.
 - G. PUBLIC OUTREACH FOR PHOTOS OF LOMPICO FALLS
Discussion by the Committee regarding outreach to the Lompico community to locate photos of the Lompico Falls during and after rainstorm to include in the Annual Report.
5. Informational Material:
- o Minutes from 7.16.19 LADOC Workshop meeting
 - o Draft of Annual Report
 - o LADOC 4th Qtr. 2018-19 Financial Report
 - o Photos by Mary Ann LoBalbo
 - o Memo from D. Loewen
6. Adjournment

In compliance with the requirements of Title II of the American Disabilities Act of 1990, the San Lorenzo Valley Water District requires that any person in need of any type of special equipment, assistance or accommodation(s) in order to communicate at the District's Public Meeting can contact the District Office at (831) 338-2153 a minimum of 72 hours prior to the scheduled meeting.

Agenda documents, including materials related to an item on this agenda submitted to the Committee after distribution of the agenda packet, are available for public inspection and may be reviewed at the office of the District Secretary, 13060 Highway 9, Boulder Creek, CA 95006 during normal business hours. Such documents may also be available on the District website at www.slvwd.com subject to staff's ability to post the documents before the meeting.

Certification of Posting

I hereby certify that on August 23, 2019, I posted a copy of the foregoing agenda in the outside display case at the District Office, 13060 Highway 9, Boulder Creek, California, said time being at least 72 hours in advance of the meeting of the Administration Committee of the San Lorenzo Valley Water District in compliance with California Government Code Section 54956.

Executed at Boulder Creek, California, on August 23, 2019.

Holly B. Hossack, District Secretary,
San Lorenzo Valley Water District

MINUTES OF LADOC Annual Report Workshop 7/16/19
prepared by Toni Norton

Chair Norton called the meeting to order at 6:06 PM

IN ATTENDANCE:

The current 3 LADOC Committee members were present; Debbie Loewen, Mary Ann LoBalbo, along with District Manager, Rick Rogers. SLVWD Chair, Lois Henry attended as a member of the public, along with Ed Frech.

ORAL COMMUNICATIONS

None

NEW BUSINESS

Continued to review and update Annual Report Draft

Debbie Loewen presented an almost complete Draft. Mary Ann LoBalbo and Toni Norton had worked together to review and edit. Both original and edited versions were included in the Agenda Materials. Toni Norton covered a few additional edits she had prepared.

District Manager, Rick Rogers presented his summary of the work in progress on the projects listed in the Assessment District Engineering Report.

The meeting was adjourned at 7:38 PM

LOMPICO ASSESSMENT DISTRICT ANNUAL REPORT 2019



This report covers the time period from inception
June 1, 2016 through June 30, 2019

The Lompico Assessment District Oversight Committee (LADOC), with the approval of the San Lorenzo Valley Water District (SLVWD) Board of Directors (BoD) is pleased to present the LADOC 2019 FIRST ANNUAL REPORT. This report covers the time period from 6/1/2016 through 6/30/2019.

LADOC will strive to deliver the report each remaining year of the Assessment District term, which is scheduled to continue through June of 2025.

Insert photo MAL/TN 1

We are committed to meeting the following Annual Report guidelines as specified in the

LADOC Charter:

1. A statement indicating whether the proposed Assessment District expenditures are in compliance with the requirements as set forth in the Assessment District ballot measure Engineer's Report.
2. A statement indicating whether the prior fiscal year Assessment District expenditures have been reviewed by LADOC and are in compliance with the requirements as set forth in the Assessment District ballot measure Engineer's Report. Said statement shall include an itemization and summary of the prior fiscal year Assessment District revenues and expenditures. Included in the itemization and summary shall be information about any loans related to completing the Assessment District projects (i.e., terms, interest and balances.)
3. Any other information LADOC deems as useful for furthering understanding of Assessment District revenue and expenses, projects, funding, history or purpose.
4. The Annual Report shall be based on the District Fiscal Year and will be completed as soon as possible after June 30, each year, using preliminary data.

LADOC MISSION STATEMENT

To serve as a Liaison between the Lompico Assessment District customers and the District.
To strive to advocate for the community and obtain answers to questions and concerns regarding Lompico Assessment District expenditures and projects.

Commitment to Excellence. The Committee is committed to applying the highest standards to public outreach, research and reporting, with excellent representation on behalf of AD-16 property owners, exemplifying the District's commitment to transparency and support.

LADOC PURPOSE

The purpose of the Committee is to review and oversee income and expenses related to construction projects in the Assessment District AD-16 Engineer's Report, to serve as liaison for customers residing within the Lompico Assessment District boundaries, and to inform the Board and public at least annually concerning the revenue and expenditure of assessment district proceeds and projects approved by the voters of Lompico on March 6, 2015, by issuing a written report.

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COMMITTEE ESTABLISHED

The Board of Directors (the "Board") of San Lorenzo Valley Water District (the "District") established the Lompico Assessment District Oversight Committee (LADOC, or the "Committee"), in accordance with Local Agency Formation Commission (LAFCO) resolution 953-A for annexation, also called the "merger" agreement. On May 4, 2016, Lompico property owners voted in favor of a 10-year assessment district (AD 2016-1) to generate \$2.75 million in revenue to repair, replace and upgrade infrastructure in the Lompico service area, as [requirement for](#) joining the District. The resolution was amended from a "bond" to an "assessment" for the revenue source and went into effect on June 1, 2016. The first five members of the Lompico Oversight Committee were seated on July 21, 2016 by Board action, in accordance with provisions of amendment to Section 15 of the Board Procedure Manual (May 19, 2016) and duties as amended on January 28, 2019 by [the Board in a updated charter](#). Many of these changes were initiated due to recommendations outlined in the Grand Jury Report "Encouraging the Flow of Information to the Public" published May 31, 2018.

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SUMMARY OF FINDINGS

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LOMPICO ASSESSMENT DISTRICT BALANCE SHEET

		FY1819			
Running Totals Since Inception	TOTAL	YTD	FY1718	FY1617	FY1516
<u>ASSESSMENT REVENUE</u>	\$768,787	\$155,899	\$301,377	\$282,580	\$28,930
EXPENSES					
METER PROGRAM	-\$197,888			-\$197,888	
SCADA	-\$19,540			-\$19,540	
SERVICE LINES	-\$39,591	-\$15,303	-\$24,288		
MAIN PRV	-\$23,181	-\$16,653	-\$6,528		
Lewis Tank	-\$8,689	-\$8,689			
Madrone Tank	-\$8,689	-\$8,689			
Kaski Tank	-\$8,689	-\$8,689			
NBS Administration Services*	-\$13,214				
	-\$319,481				
CASH BALANCE	\$449,307				

*Company that provides administrative services for Assessment Districts

LOMPICO ASSESSMENT DISTRICT PROJECTS AND EXPENSES*

ASSESSMENT DISTRICT PROJECTS	STATUS	Assessment Estimate	BID	Prelim work Total
Install 3 New Bolted Steel Tanks		\$ 682,500		
Lewis Tank(s) – replace two	Engineering and consulting completed-awaiting RFQ response			\$ 8,689
Madrone Tank(s) – replace two	Engineering and consulting completed			\$ 8,689
Kaski Tank(s) -replace two	Engineering and consulting completed			\$ 8,689
Refurbish Mill Creek WTP	Project cancelled - see details	\$ 105,000		\$ -
Service Line and Meter Replacements		\$ 862,500		
Meter Program	Complete			\$ 197,888
Service Line/Lateral	Ongoing - 34 replaced - see details			\$ 39,591
Distribution System Interconnect	Ongoing - see details	\$ 301,000		\$ -
SCADA System	Temporary- see details	\$ 441,000		\$ 19,540
Remove and Replace Existing PRVs	In progress	\$ 358,000		
6 PRV units on water mains	Expenses for consulting work. Awarded Bid for all \$468k Feb 2019		x	\$ 23,181

* Represents all Assessment revenue and expenses since inception of Lompico Assessment District. Please see Assessment District AD-16 Engineer's Report included for additional details regarding estimates

LOMPICO ASSESSMENT DISTRICT OVERSIGHT COMMITTEE

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LETTER FROM THE CHAIR Toni Norton

Dear Residents of the Lompico Assessment District and Customers of SLVWD,

Although it may appear that very little has been accomplished in meeting the Assessment purpose "to repair, replace and upgrade infrastructure in the Lompico Service Area." I realized that it would be more productive to focus on the positives of where we are today. I've been a member of the committee since its inception. It's been a tough three years, but finally we are on the right track to meeting our goals.

- [Thanks to a grant provided by the State of California, we](#) have an Intertie connected to SLVWD that delivers fresh, safe, clean water to our taps
- Finally, there are specific plans and timelines in place to address all of the projects listed in the Assessment District Engineer's Report. You'll read the details in the District Manager report
- We have a District Manager that is extremely knowledgeable about the specific water needs of the entire San Lorenzo Valley, including Lompico and is dedicated to completing the Assessment projects as quickly and efficiently as possible always with an eye to cost savings. DM Rick Rogers is also accessible, responsive and quick in addressing our concerns.
- We now have access to the SLVWD Financial Manager, Stephanie Hill. She and Rick Rogers meet with us quarterly to provide a detailed financial report updating us on the expenditures of the Assessment District funds and status regarding the Assessment Engineering Report projects
- We now have a SLVWD Board in place that understands and supports the terms of the Merger Agreement's requirement for an Oversight Committee. They support us in giving us access to information, support from their staff and support our desire and responsibility to report back to the Lompico Assessment District Community.
- With the full support and approval of the Board, with no restrictions, our committee published both the Lompico Assessment District Oversight Committee (LADOC) Charter and the Assessment District First (ever!) Annual Report.

While I can't say all the recommended steps to support the Lompico Assessment District Oversight Committee (LADOC) listed in last year's May 2018 Grand Jury have been fulfilled, many have and I have confidence that we'll get there soon.

We welcome both the residents living in the Lompico Assessment District and all customers of San Lorenzo Valley Water District to attend our Quarterly Meetings that are held currently at the SLVWD Operations Building. We schedule additional meetings, as needed and try to hold them at the Zayante Fire Station, if the space is available. All meetings are posted on the SLVWD.com website.

For those of you that would like to see details of why it's taken so long to get our first Annual Report published, I invite you to read the LADOC Chair Timeline which is posted to the LADOC page at SLVWD.COM. You'll find our page linked in the menu at the bottom of the Main SLVWD.com site.

I hope you'll all join me in my hope that our projects will be SUCCESSFULLY COMPLETED, Lompico's Infrastructure will be safe and sound and the LADOC's responsibilities will be fulfilled!

Sincerely,

Toni Norton, [Lompico Resident and Chair of LADOC](#)

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DRAFT To Do list on last page 6-10 version

Brief History of Lompico County Water District



Lompico County Water District was formed in 1963 by the community of Lompico Canyon, located within the watershed of San Lorenzo River in the Santa Cruz Mountains. In 1964, the 70 registered voters in Lompico approved a 1.5 million dollar water infrastructure bond. In 1974 the State of California set a moratorium limiting customer hookups to no more than 500, based on a limited water supply. The system was completed in 1978, and the last ~~of the four series of 40-year bonds paid off in 2018.~~ The district's water sources were from Lompico Creek, a federally protected steelhead trout habitat, and half a dozen wells located in the low-yield Monterey aquifer.

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After several earlier attempts, in response to recommendation by ~~Insert photo MAL/TN 2~~ State and County agencies, Lompico resumed talks in 2010 with larger neighboring water district SLVWD as to the possibility of ~~a~~ merger. In 2015 Lompico County Water District (LCWD) was named by the State as one of 17 small water systems in danger of ~~running out of~~ water resources during the drought.

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~~Thanks to an emergency grant from the State of California, an intertie was installed connecting LCWD to SLVWD. The emergency intertie has been converted to a full-time water supply for Lompico Canyon residents. This was funded via the Assessment District funds which were available, after a successful annexation and Assessment vote was completed in 2016,~~

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What is an Assessment District? *

Insert photo MAL/TN 3

.....and how much do we pay?

Insert photo MAL/TN 4





"Assessment districts have been in use in California for the past 150 years. Local agencies, including cities, counties, and special districts, may establish assessment districts for the purposes of financing all or a portion of the cost of certain public improvements and services. Each property within an assessment district is assessed an amount sufficient to cover the proportional cost of the special benefit that it receives from the improvements or services that are paid for by the assessment."

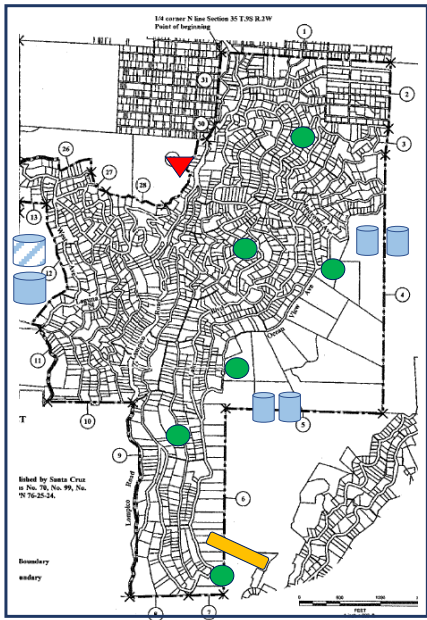
"The proposed assessment must be supported by a detailed engineer's report prepared by a registered professional engineer, which would, under Proposition 218 ... include identifying the parcels that will receive a special benefit from the improvements or services to be funded by the assessment, determining the proportionality of the special benefit among the parcels, and making certain the assessment levied upon a parcel is not greater than its proportionate share of the costs of the special benefit received." *From the California Debt and Investment Advisory Commission, State Treasurer's Office, www.treasurer.ca.gov/cdiac/

The Assessment amount for each improved parcel having a water meter in Lompico is \$587 a year for ten years, per the Engineer's Report, calculated at 500 service connections. In addition, the Assessment District allowed the County to add a small fee to collect the revenue on property taxes, initially up to 2% of the annual installment. [ref Assessment to voters]

ENGINEER'S REPORT FOR LOMPICO ASSESSMENT DISTRICT

The Assessment required an Engineer's Report, describing its specific purpose:
Established a fund for construction projects in Lompico Six projects:

Replacement of 6 redwood tanks	\$682,500	3 sites	
Refurbish Mill Creek treatment plant	\$105,000		
Service line and meter replacement	\$862,500	System wide	
Distribution system Interconnection	\$301,000		
SCADA (automated control system)	\$441,000	System wide	
Replace PRVs (pressure reducing valves)	\$358,000	6 sites	
Total Construction	\$2,750,000		
Loan Interest	\$183,734		
Total Assessment	\$2,933, 734		




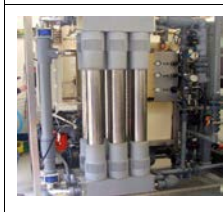

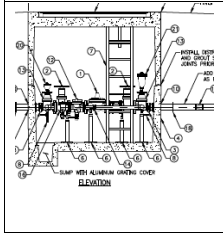
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LOMPICO ASSESSMENT DISTRICT PROJECTS

Insert photo MAL/TN

Lompico Assessment District Projects- Overview

	<p>Tanks [description]</p>
	<p>Treatment plant [description]</p>
	<p>Service lines and meters [description]</p>
<p>[insert photo or graphic]</p>	<p>Interconnection [description]</p>
<p>[insert photo or graphic]</p>	<p>SCADA [description]</p>
	<p>PRVs [description] ie:Six sites located on Coleman Ave, Van Allen Rd , Edgewood Dr, Visitar St , Lake Blvd & Lakeview Ave. Replacement of six Pressure Reduction Valve stations. Awarded bid to Earthworks on February 21, 2019 for total \$468,000.</p>

District Manager's Report [insert Rick's write-up; rough draft of ideas to cover]

Projects commentary [links to SLVWD website?: capital project descriptions, RFP's bids, et al]

Priorities

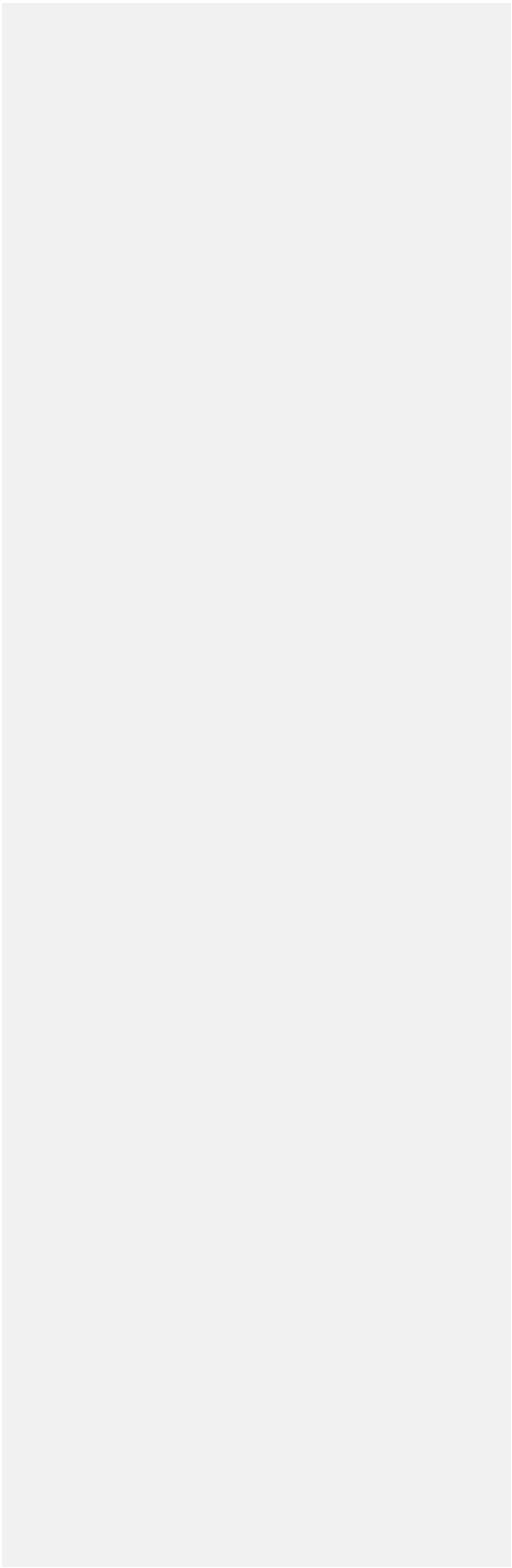
Work completed or in progress

Timeline [gantt chart?] [what needs to be done before interconnection, for example]

Future [what if assessment money runs out before all projects completed? Or ref to FAQ]

LOMPICO ASSESSMENT DISTRICT
FINANCIAL REPORT
BUDGET & EXPENSES

Insert photo MAL/TN



Financial Report [insert descriptions, examples:]

The loan

NBS Administrative Services

Details, Charts and graphs

[from previous draft – to be edited]

Tracking Assessment Funds Water district tracking and financial reporting follows Government Accounting Standard Board (GASB) guidelines, including No. 6 which is financial reporting standards for capital improvements and services financed by special assessments. Revenue is collected from Lompico property taxes by the County and held in a special account. Assessment funds may not be used for any purpose other than described Lompico projects of AD-16 in the Engineer's Report.

Source of Report Data Quarterly ~~LADOC meets with the SLVWD Finance Director who presents a~~ [Quarterly Finance Report](#). Included is ~~an~~ itemization of revenue per quarter and to date, ~~with an~~ itemization of ongoing expenses per project, including labor and materials. Reports may also include receipts and labor timecards. The LADOC charter allows committee members to request and review all relevant data sources.

Assessment Collection Management is contracted by SLVWD to a consultant, NBS Government Finance Group via their Special Finance District (SFD) Administration service, for tasks not included by the County when collecting the Assessment on property taxes. NBS services include delinquency management, tracking parcel changes, calculations of penalties and interest, correspondence and follow up. The cost for this service has been about \$1,150.00 per quarter, charged to the Assessment District. *Description of NBS duties and fee, (\$4500 yr + exp ref addendum 6.9.16) can we see and include a copy of contract?.*

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SLVWD Capital Budgets – Lompico Projects

SLVWD Capital Budgets – Lompico Projects [track per grand jury recommendation; ROUGH DRAFT data]

FY 16-17

Capital Project Summary						Overall Project Total
	Planning/ Design (8%)	CEQA (2%)	Construction (80%)	Contingency (10%)	2016-17 Total	
Lompico Service Area			\$ 168,000	\$ 16,800	\$ 184,800	\$ 184,800
Lompico Service Area Tank Replacement	\$ 75,000			\$ -	\$ 75,000	\$ 75,000
Lompico SCADA	\$ 2,500		\$ 25,000	\$ 2,750	\$ 30,250	\$ 30,250

Fiscal Year 2016/2017	
Water Enterprise Fund	\$ 3,025,850
Lompico Assessment District	\$ 354,050
Sewer Enterprise Fund	\$ 400,000
TOTAL	\$ 3,779,900

FY 17-18

#	Project	Funding Type	Spent in Prior FY	FY1718 Budget	Future FY Projection	Project Cost
Lompico Assessment District						
20	Lompico Lewis Tank Replacement	Assessment District		25,000	175,000	200,000
21	Lompico PRV Replacements	Assessment District		50,000	150,000	200,000

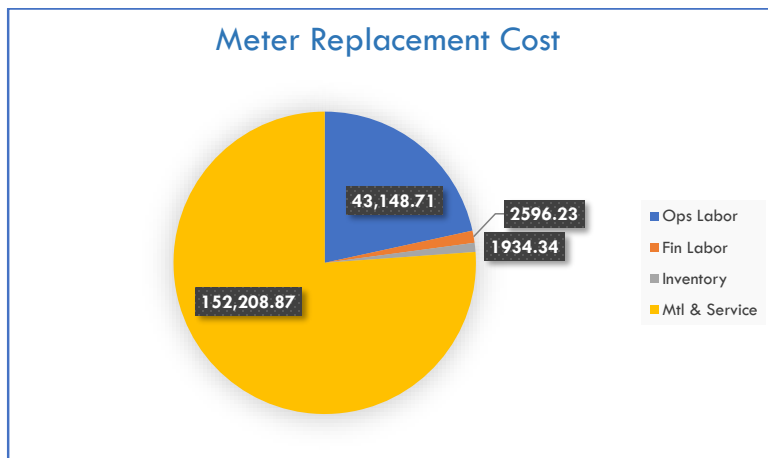
FY 18-19

#	Project	Funding Type	Spent in Prior FY(s)	FY1819 Budget	Future FY Projection	Total Project Cost
Lompico Assessment District						
30	Service Line Replacements	LOMPICO AD	25,000	150,000	489,600	664,600
31	PRV's	LOMPICO AD	50,000	90,000	218,000	358,000
32	Interconnection Booster	LOMPICO AD		45,000	256,000	301,000
33	Lewis Tank	LOMPICO AD		34,000	193,334	227,334

Detail of Expenses [rough draft example]

LOMPICO METER CHANGEOUT WORK ORDER #129

Labor & Overhead 22%	\$43,744.94	Operations Dept \$41,148.71	Payroll \$ total per 5- pay periods Aug 17 to Oct 26, 2017 Labor \$27,728.24 OH \$13,420.47
		Finance Dept \$2,596.23	80 hrs @ \$23.88 Labor \$1,910.40; OH \$685.83
Materials 78%	\$154,143.21	\$1,934.34	inventory
		\$152,208.87	Materials and Service: Rent vac truck \$1,843.94 5/8 meter w/lid approx. \$230 ea
TOTAL	197,888.15	Average cost each	Installed at 500 meters is +/- \$396.



Committee Analysis:

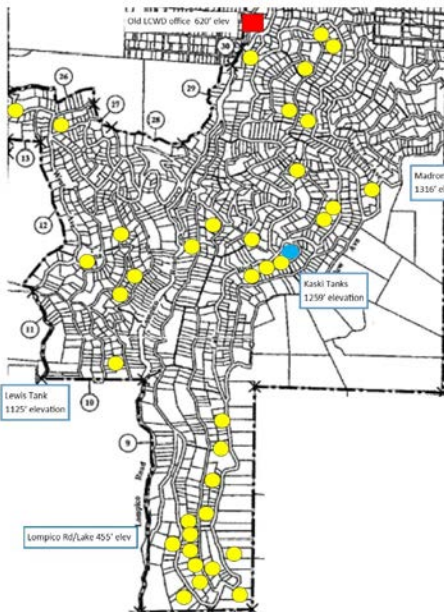
[Planned and staged, efficiently done, meets the estimate]

[add other project expense itemizations and analysis/opinion: tanks, PRVs...see chart]

[chart reference for expenditure details] [requested itemized project exp from S. Hill, or get from Q's]

ASSESSMENT DISTRICT PROJECTS	List or describe vendor/work/bid STATUS	Assessment Estimate	Prelim work Total
Install 3 New Bolted Steel Tanks		\$ 682,500	
Lewis Tank(s) – replace two	Engineering and consulting completed-awaiting RFQ response		\$ 8,689
Madrone Tank(s) – replace two	Engineering and consulting completed		\$ 8,689
Kaski Tank(s) -replace two	Engineering and consulting completed		\$ 8,689
Refurbish Mill Creek WTP	Project cancelled - see details	\$ 105,000	\$ -
Service Line and Meter Replacements		\$ 862,500	
Meter Program	Complete		\$ 197,888
Service Line/Lateral	Ongoing – as needed		\$ 43,050.44
Distribution System Interconnect	Ongoing - see details	\$ 301,000	\$ -
SCADA System	Temporary- see details	\$ 441,000	\$ 19,540

Service Line/Lateral replacements were done on an as-needed basis as they failed:



Total replaced to date: 37

Original material replaced:

Blue poly pipe: 35

Copper pipe : 2

Location:

West side: 7

East side: 30

38% (14) are in Kaski zone (Visitar/Lake)

Cost:

Range of cost to replace: \$635 to \$1610

Total cost for 37 replacements: \$43,050.44

=Average cost each \$1164

Committee Analysis and Recommendations

[insert statements as per Charter and grand jury report] example:

Assessment District expenditures have been reviewed by LADOC and, to the best of our knowledge, are in compliance with the requirements as set forth in the Assessment District ballot measure Engineer's Report. The Annual Report presented includes an itemization and summary of all prior fiscal year Assessment District revenues and expenditures as provided by the district, from June 1, 2016 to June 30, 2019. At the time of this report, no loans have been taken out for Assessment District projects.

The Committee and district are in agreement that a third party expert in Assessment Districts is needed, as well as training on assessments and oversight for members, staff and the board.

The Committee would like the district to move forward on securing a loan, as in the Assessment Engineer's Report, to expedite all projects being completed in a timely manner.

The Committee recommends the SLVWD website include an area for the AD with details on projects and ongoing updates on progress and expenses, per GJ recommendation [district website [in process of being redesigned](#)]

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The Committee recommends more frequent district website public reports or posts on AD finances, in addition to Annual Report.

The Committee commends current staff and board for their support.

The Committee thanks the 2017-18 Grand Jury for their investigation and recommendations, which have been instrumental in defining and supporting LADOC duties.

Frequently Asked or Unanswered Questions

[examples]

From the Grand Jury investigation

What is/about

- the process for changing or removing projects from the Engineer's Report list
- the possibility of reducing Assessment District collections in later years
- ending the Assessment District early
- whether the AD is collecting interest on a future loan
- whether obtaining a loan against the AD is required
- using the \$183,000 collected for loan interest for other AD expenses
- returning unused funds to the ratepayers
- postponing the completion of Assessment District capital projects beyond ten years

Other questions from previous meetings:

- Will SLVWD come back to Lompico ratepayers for more money if the original assessment no longer covers the cost to complete projects in the original plan?
- Can projects be dropped from the original Engineers report list? What is the process, and what happens to those funds?
- If SLVWD does not intend to use the treatment plants, can they be sold and the money used for Assessment District projects, or returned to Lompico ratepayers?
- Will the district plan to sell the old growth redwood from replaced tanks?
- Is the metal recycle payback value of removed materials (old meters, fittings, etc) being put back in the Assessment District fund?
- Does the present intertie meet flow requirements of the State? (ref BOD agenda 9.20.18 item 13.1 (page 229) Permit Change and Report from State Water Resources)

LOMPICO ASSESSMENT DISTRICT

**BACKGROUND
INFORMATION**

Insert photo MAL/TN

Lompico Citizen Oversight Committee

A Lompico Citizens Oversight Committee was an element proposed and approved by SLVWD [to be](#) included in LAFCo "merger" Resolution 953-A. The SLVWD board reviewed applications and appointed [the first](#) five Lompico members in July of 2016. The [first meeting](#) was held at the old LCWD office in August. The original [description/purpose of the committee written by SLVWD staff and published in the SLVWD](#) stated "The Committee shall be responsible to review matters of stewardship, design, construction, replacement, and repair of the Assessment District facilities and property". This was revised in 2017 to include only assessment district fund [and project](#) oversight, and the name changed to LADOC, for Lompico Assessment District Oversight Committee.

The committee faced challenges of district support throughout 2017. [At the October 19, 2017, meeting the District Manager proposed making the following changes to the Board of Directors Policy impacting LADOC: redefine and differentiate LADOC as a "Public Committee" whose only purpose is to deliver and receive information, who therefore will have no need to produce and publish minutes, who will meet once annually at the time and place specified by the Board, the Board will appoint the Chair and Vice Chair of the LADOC. Fortunately, the October 19, 2017 meeting was well attended. Three LADOC members attended the meeting and spoke out against these aggregious changes. Many members of public and all three public members of the other SLVWD Committes spoke out against the changes. Only one member of the Board spoke in favor of the changes and she also admitted that she had assisted the District Manager in preparing the recommendation. No action was taken on the proposal.](#)

[In the meantime, months earlier LADOC had approached and met with members of the Finance Committee to request assistance in developing the Finance Report which had been promised by the District Manager since the very first meeting. The Chair of LADOC met with the Chair of the Finance Committee \(also a Board Director\) and engage the Finance Manager and place the item on the Finance Committee agenda. An agreement was struct for the LADOC to hold a meeting once Quarterly at the](#)

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[Boulder Creek Operations Bld with both the Finance Manager \(now a Finance Director\) Board Member \(preferably the Chair\) in attendance to present the Lompico Assessment District Quarterly Finance Report. It was also agreed that LADOC could continue to meeting as often as they deemed necessary to meet their obligation.](#)

A series of resignations throughout 2018 resulted in lack of a quorum and irregular meetings. The committee has met regularly on a quarterly basis since November 2018, with greatly improved district support under the new District Manager and board of directors.

Deleted: meetings were changed from monthly to quarterly, with regular financial reports beginning in early 2018.

A new Charter was written by the committee in January 2019, and adopted by the Board, to meet recommendations of a Grand Jury investigation and report released May 2018.

Members of the Committee 2016 to present:

April Crittenden	July 2016 – Feb 2018	Secretary 2016-2018
John Grunow	July 2016 – April 2018	
Lydia Hammack	July 2016 – June 2018	Chair Jan 2018-June 2018
Ruth Shaw	July 2016 – June 2018	Vice chair Jan 2018-June 2018
Toni Norton	July 2016 to present	Chair July 2016-Jan 2018; Nov 2018 to present
Andrew Rippert	April 2018 – June 2018	
Mary Ann LoBalbo	April 2018 to present	Vice Chair Nov 2018 to present
Jennifer Gomez	Sept 2018 to April 2019	
Dennis Lynch	Sept 2018 – Oct 2018	
John Wright	Sept 2018 to April 2019	
Debra Loewen	January 2019 to present	

Members of the Committee, shown above, front to back, left to right are

[Or a picture of the old water district office?]

Insert photo MAL/TN 7

More history of the Lompico merger and LADOC follows in the next two sections:
2017-18 Grand Jury investigation and Findings, and References and Resources.

2017-18 Grand Jury Findings and Recommendations

San Lorenzo Valley Water District

“Encouraging the Flow of Information to the Public”

Published May 31, 2018

Summary

“Since mid-2016 the San Lorenzo Valley Water District (SLVWD or District) has struggled to address public concerns about a number of controversial issues. The administration of the Lompico surcharge and capital projects, use of glyphosate in the watershed, and a lawsuit involving a former Board member, were among the issues that drew sharp criticism from citizen groups and the press. The criticisms tested the capacity of the District’s representatives to maintain productive and civil interactions with the community and, at times, with one another.

Although the Lompico surcharge has now been eliminated, other disputes and communication challenges remain. Issues such as the District’s handling of legal matters, management of the Lompico Assessment District and capital projects, and support for the Lompico citizen oversight committee continue to be divisive. In addition, District changes to meeting practices in 2017 have reduced public access to the debate and decision-making process and compromised the community’s understanding of the issues.”

“Better communication on difficult matters, an informed and effective Assessment District oversight committee, and an unwavering commitment to public access, will enable greater transparency and may restore trust and foster better relationships within the SLVWD community.”

Three Findings and Four Recommendations regarding Lompico

See the complete Grand Jury Report Online:

www.co.santa-cruz.ca.us/Portals/0/County/GrandJury/GJ2018_final/SLVWDAndThePublic.pdf

F1	The lack of effective communication between the District and the community concerning the administration of the Assessment District has caused public concern regarding the timing and implementation of the Assessment District projects.
F2	The District has not provided adequate authority, guidance, training or support to the Lompico Assessment District Oversight Committee (LADOC) to ensure that the committee can fulfill its assessment district oversight responsibilities, thus reducing transparency and accountability to the public.
F3	Lack of effective District communication practices has reduced public access to the decision-making process and contributed to acrimony and on-going relationship challenges with the community, causing stress on elected officials and staff, as well as frustration among ratepayers.
R1	LADOC should produce an annual report detailing the status of the Assessment District revenues and expenditures (F1, F2)
R2	The District should schedule annual public study sessions or workshops to review the LADOC annual report and discuss the administration of the Assessment District (AD), in order to provide in-depth information to the public about the timing, funding, and execution of the AD projects. (F1, F3)
R3	The Board and LADOC should work in concert to create a charter for LADOC that describes in detail the committee’s responsibilities and its authority to fulfill its oversight role (F2, F2)
R4	The Board should ensure that LADOC receives adequate professional, technical and administrative support from the District, as well as the authority to carry out its oversight responsibilities (F2)
R5	The District should provide formal training for all LADOC citizen committee members in

governance, meeting management and the Brown Act. (F2)

Previous board response Aug 22, 2018 [edit and condense? Link?]

F1-2-3 Cites "...confusion about the mechanics of the assessment district and unaligned expectations" and "...commonly held misconceptions about assessment districts generally..." leading to poor communications.

R1 "staff will help jump start the process... by generating a template to help facilitate the first annual report" within 6 months.

R2 "We believe it is important to note that comments and questions about the implementation of AD-16 projects, including priority, timeline, bidding and design considerations etc., are within the purview of the Engineering Committee and ultimately the Board. We encourage members of the public to bring these types of issues to the Engineering Committee rather than LADOC."

R3 Within 6 months we will revise the LADOC Charter to replace its current Charter. A draft will be presented to LADOC for its review and comment and to the Board for approval.

R4 We "believe the district has professional expertise up to the task of locating appropriate resources..." Within 6 months, we will make governance, meeting management, and Brown Act training available to all members of the Board and the District's public committee members. Within a year, we will evaluate and select a means of making such training available on a recurring or ongoing basis. For example, staff may consider creating tailored training materials for in-house use and reproduction versus hiring consultants and/or procuring online subscriptions, etc.

R6 "training on key topics" We believe the District has professional expertise up to the task of locating or adapting existing training, or creating new materials as necessary.

Committee comment: While an Ad Hoc committee of two board members was created June 27, 2018 for the above, no actions were taken beyond writing the initial response to the Grand Jury.

Current board response to GJ follow-up June 2019 [under construction]

[May summarize and provide link here and/or in Reference section at end of report]

Excerpts from Grand Jury report. Footnote [#] references are found in the Grand Jury report.

LCWD-SLVWD Merger

Financial problems, an aging infrastructure, and the threat of state intervention obliged the Lompico County Water District (LCWD) to look to SLVWD for help in 2013. After two years of complex negotiations, SLVWD agreed to annex LCWD if Lompico ratepayers would pass a bond issue to fund infrastructure improvements, and agree to pay a surcharge to cover extra costs related to integrating Lompico operations into SLVWD. The conditions were laid out formally in Resolution 953-A, which all parties refer to as the "merger agreement." Similarly, while the transaction is more correctly termed an annexation, all parties refer to it as the "merger."

A bond issue to provide SLVWD with immediate funding for the Lompico infrastructure projects failed by a narrow margin in 2015. The parties then agreed to the formation of an assessment district as a "similar revenue instrument" which would collect the required funds over a 10-year period. In addition, the parties retained the requirement that SLVWD would create a "Lompico oversight committee."^[3] The assessment district passed in a new ballot measure in March 2016, clearing the way for the merger on June 1, 2016.

Another condition of the merger, the 10-year Assessment District, provided \$2.75 million to fund a set of capital improvement projects specified in the accompanying Engineer's Report.^[1 2] It also provided for

the collection of an additional \$183,000 for interest payments on anticipated loans taken against future Assessment District collections. The Engineer's Report lists the Lompico capital improvement projects and the estimated cost of each project. It contains few other details about the projects or their implementation.

Since the merger, District representatives and members of the public have raised financial issues not addressed in either the merger agreement or the Engineer's Report. These concerns include questions about what adjustments are possible under the Assessment District (AD) if some projects come in substantially over or under budget, or if the District obtains grants to fund any of the listed projects.[13] [14] Other questions have focused on the disposition of the funds collected over the years for loan interest if no loans are obtained.[15] Still other financial concerns are centered on what would happen with the designated AD funds if a listed project is later determined to be unnecessary.[16]

The construction timeline has been another area of concern. Public discussions and presentations before the merger had laid out the District's plans to start the Lompico projects shortly after the merger, with funding coming from loans taken out against the AD.[17] [18] After the merger however, the District staff investigated loan funding and reported back that it found fewer acceptable loan opportunities than it had anticipated. Instead, the District opted for pay-as-you-go construction funding for most years, with a possible bridge loan in years four through seven.[19] [20]

Lompico ratepayers have expressed their concerns that the lower priority ranking of the Assessment District projects might lead to delays and higher construction costs, with a possible consequence that some of the AD projects might not be done.

SLVWD updated its policy manual to add the new oversight committee.[23] It then solicited applicants.[24] The policy manual described the committee's role in broad terms: *The Committee shall be responsible to review matters of stewardship, design, construction, replacement, and repair of the District facilities and property directly related to Assessment District 2016-1, the Lompico Service Area.*[25]

LADOC's opening meeting was August 23, 2016. At its second meeting, held on October 6, 2016, the committee decided to pursue several open questions and issues that appeared to fall under its purview. Less than two weeks later, at the October 16, 2016 Board of Directors meeting, the Board debated the reduction of LADOC's duties,[26] by changing the description of its role to one which it said more closely resembled the wording of the merger agreement.[27] At the next Board meeting, the SLVWD policy manual was amended to read: *The Committee shall be responsible to review matters of revenue and expenses directly related to Assessment District 2016-1 projects.*[28] [29] District representatives refer to this one sentence description of the responsibilities of LADOC as the LADOC "charter." [30] The responsibilities of LADOC continue to be the subject of discussion and disagreement.[31]

Investigation

Assessment District 2016-1

In its investigation of the Assessment District (AD), the Grand Jury found notable differences in understanding among District representatives regarding the construction strategy for the AD's projects, including District plans in the event of project delays, cost differences, or possible changes in projects undertaken.

While the District recognizes that AD funds may be used only for the benefit of Lompico, understandings differ among decision makers on what flexibility exists under the AD as written. Varying interpretations of the Assessment District terms have, in several cases, led to conflicting assertions made to the Grand Jury or to the public, about:

- the process for changing or removing projects from the Engineer's Report list[36]
- the possibility of reducing Assessment District collections in later years[37]
- ending the Assessment District early[38] [39] [40]

- whether the AD is collecting interest on a future loan[41]
- whether obtaining a loan against the AD is required[42]
- using the \$183,000 collected for loan interest for other AD expenses[43]
- returning unused funds to the ratepayers[44] [45]
- postponing the completion of Assessment District capital projects beyond ten years[46]

The Grand Jury has found that, nearly two years after the merger, District representatives still communicate differing views of the AD and its projects. The varying interpretations have caused public concern, and warrant serious and sustained discussion.

The District-wide Capital Improvement Program introduced in November 2017 has presented another communication challenge. The District used a priority rating system to rank each capital project, which resulted in a timetable for the execution of each project on the list. The CIP assumes, however, that there are no differences between Lompico and non-Lompico projects except for the funding source; that is, that the projects for which Lompico ratepayers pay an extra assessment have no special status.

In contrast, Lompico ratepayers contend that they gave their vote to accept the Assessment District in exchange for the District's promise to complete the specific projects listed in the Engineer's Report in an expeditious manner.[47]

At minimum, adequate guidance and support for LADOC would include:

- Comprehensive orientation prior to beginning work
- Members handbook of key documents, including items such as a LADOC charter (description of duties), the Engineer's Report, relevant resolutions,[75] [76] [77] [78] relevant District policies and procedures, project descriptions, budgets and schedules, financial reports, minutes of prior meetings, guides to Brown Act and parliamentary procedures
- Regular meeting schedule, at least quarterly

Expected duties of the oversight committee would include:

- Tracking expenditures of assessment proceeds back to the capital improvement plan
- Actively reviewing and reporting on the proper expenditure of assessment money for the Lompico construction and replacement projects listed in the Engineer's Report
- Maintaining a committee webpage with (1) detailed information about the progress of each project, (2) committee minutes, and (3) materials it has received
- Preparing and publishing an annual report for ratepayers

Expected duties of the District would include:

- Providing timely, comprehensive data to the oversight committee, including financial reports that display original budget, current budget, actual expenditures, budget balance, and approved commitments to projects to date across all fiscal years
- Providing technical and administrative assistance

LADOC meeting notes and internal emails from April 2017, and subsequent Grand Jury interviews, confirm that LADOC sought more support from the Board and staff, but the District did not have the resolve to provide effective support.[81] [82] [83]

References and Resources

1964 June 7	"\$1.5 Million Water Bond Issue Before Lompico's 70 Voters" <i>Santa Cruz Sentinel</i> ; Library clipping file: <u>Lompico</u> ; Viewed on microfiche, California Room. Also may be found by title on https://cdnc.ucr.edu UCR Center for Bibliographical Studies and Research, California Digital
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	Newspaper Collection.
2010 May	"Up a Creek without a Financial Paddle: The Lompico County Water District", Grand Jury Report 2009-10. http://www.co.santa-cruz.ca.us/Portals/0/County/GrandJury/GJ2010_final/Up_the_Creek.pdf
2010 July	Beginning of merger discussion between districts: SLVWD District Manager Jim Mueller; BOD consists of Jim Rapoza, Terry Vierra, ; Lompico County Water District board: Lois Henry, Bill Smallman, Rick Harrington, and
2010 July 8	"Lompico Summary of Costs", SLVWD announcement. "On July 8, 2010 Lompico County Water District held a meeting with representatives from the San Lorenzo Valley Water District to discuss and provide information relative to potential merger options for Lompico County Water District at the Zayante Fire Station." http://www.slvwd.com/agendas/OTHER/2010/Lompico/Lompico%20July%208%202010.pdf
2010 July 15	"Lompico Water considers merger with SLV", <i>Press Banner</i> . "Jim Mueller, San Lorenzo Valley Water District's general manager, presented his district's evaluation of Lompico, including what a merger would cost customers." http://www.goldenstatenewspapers.com/press_banner/news/lompico-water-considers-merger-with-slv/article_433d800b-7aa1-561f-bf80-fa6947c3abf2.html
2011 July 13	"Cash strapped Lompico to consider increases to water rates", <i>Santa Cruz Sentinel</i> . "Meanwhile, talks are continuing with the larger San Lorenzo Valley Water District, which serves 7,300 connections. Lompico, which has approximately 500 connections, is seeking members of the community to sit on a citizens advisory committee to research and prepare information related to the potential merger, with those members chosen at next week's meeting." https://www.santacruzsentinel.com/2011/07/13/cash-strapped-lompico-to-consider-increases-to-water-rates/
2012 April 20	"SLV Water to assist Lompico", <i>SLV news slvnews.net</i> "The San Lorenzo Valley Water District Board voted 5-0 to assist the Lompico County Water District by providing technical and contract management assistance for a pair of studies that will help determine the costs to replace tanks and install a connection between the two systems" http://slvnews.blogspot.com/
2012 Aug 8	"For tiny Lompico County Water District, a huge retirement bill", <i>Santa Cruz Sentinel</i> . "The 494-hookup district has navigated years of rocky waters and criticism of sky-high water bills. Its former district manager was charged with falsifying water reports, and since his firing the district has operated with a secretary and three technicians, one part-time. Its annual payroll is a threadbare \$130,000." https://www.santacruzsentinel.com/2012/08/08/for-tiny-lompico-county-water-district-a-huge-retirement-bill/
2013 July 25	"Potential Consolidation of Lompico and San Lorenzo Valley water districts". <i>Letter of Recommendation to Board of Supervisors from Health Services, Santa Cruz County</i> . "Operation of the District has been subject to many challenges, including: inadequate water supply, lack of any potential new water sources, aging infrastructure, leaking water tanks, inadequate treatment facilities, management issues, and disagreements among the community about how best to govern. Lompico has the highest water rates in the County, which has posed a challenge to the many low and moderate income residents of the community." http://sccounty01.co.santa-cruz.ca.us/BDS/Govstream2/Bdsdata/non_legacy_2.0/Minutes/2013/20130625-599/PDF/067-1.pdf
2013 Sept 23	Water board President of the Year, California Special Districts Association, awarded to Lois Henry, Lompico County Water District: <i>County Press Release</i>

	https://patch.com/california/scottsvally/lompico-water-board-president-wins-state-honor
2013 Dec 4	Public Meeting at Zayante Fire Station, Presentation of Merger Options SLVWD-LCWD http://www.santacruzlafco.org/wp-content/uploads/2015/09/Lompico-Merger-Options.pdf
2014 - 2016	Santa Cruz Local Agency Formation Commission (LAFCo) containing reports on Lompico County Water District. https://www.santacruzlafco.org/reports/ and links. Feb 2014 presentation on draft merger options: http://santacruzlafco.org/Library/Lompico%20Merger%20Options%20Dec%205.pdf
2014 Jan 30	“California drought: communities at risk of running dry”, <i>San Francisco Chronicle</i> . State Department of Public Health lists Lompico County Water District among 17 small communities throughout the State likely to run out of water within 100 days; requirements to cut water use by 30% during the drought. https://www.sfgate.com/news/article/California-drought-communities-at-risk-of-5184906.php
2014 Feb 4	“What happens when a town runs out of water?”, <i>Newsweek</i> . “For now, Lompico has enough water to limp by. But [LCWD Chair Lois] Henry says one of the town’s three wells has recently been cutting out, reducing even further the approximately 35 gallons per minute the district is able to pump to its 494 water hookups. The water supply is so precarious that, Henry says, Lompico is one water main break or major fire away from disastrously low levels.” https://www.newsweek.com/what-happens-when-town-runs-out-water-227929
2014 Feb 14	SLVWD-Lompico Intertie Agreement. Board of Directors agenda packet Feb 20, 2014. http://slvwd.com/agendas/Full/2014/02-20-2014/Item%208b.pdf
2014 April 18	“Bad week as Lompico loses two of its three water wells to motor damage” <i>KION news</i> . “Not only is Lompico in a phase three rationing stage-- which means no water use between specified hours and no outdoor irrigation-- but with two out of their three wells went down.. ,it means we have a lot of work to do’ ” https://www.kion546.com/news/santa-cruz-county/bad-week-as-lompico-loses-two-of-its-three-water-wells-to-motor-damage/66224358
2014 May 4	“Lompico emergency pipeline completed fears eased “, <i>Santa Cruz Sentinel</i> . http://www.santacruzsentinel.com/general-news/20140504/lompico-emergency-pipeline-completed-fears-eased
2015 Feb	Measure N: Bond Issue, Parcel Tax and Appropriations Limit Increase, Santa Cruz Community Facilities District No. 2 https://ballotpedia.org
2015 Feb 27	“Lompico water bond fails by one vote”, <i>Santa Cruz Sentinel</i> . “Of the 516 votes, 343 backed the measure — 66.47 percent — and 173 voted against it — 33.53 percent.” Total voter turnout was 69%, “which is a good turnout when it comes to elections but what happened to the other 31 percent of the people?”- Gail Pellerin, County Clerk, on why every vote counts. http://www.santacruzsentinel.com/20150227/lompico-water-bond-fails-by-one-vote
2015 Aug 20	SLVWD board memo to approve Lompico Assessment District and recommitting to merger. Board agenda packet. http://www.slvwd.com/agendas/Full/2015/8-20-2015/10c.pdf
2015 Aug 24	Commentary on SLVWD-Lompico merger, <i>Fifth District Supervisor Bruce McPherson</i> on change to Assessment District for funding mechanism http://supervisorbrucemcpherson.org/san-lorenzo-valley-water-district-to-merge-with-the-lompico-water-district/
2015 Nov 25	County Board of Supervisors approve Lompico Assessment District With letter of recommendation from County Health Services, after failure of bond vote and

	granting of one year extension by LAFCo: "LCWD now proposes to form an assessment district within its boundaries, in order to finance the infrastructure upgrades required to complete the annexation to SLVWD. This financing method has broader support in the community than the CFD had, and is expected to be approved by Lompico property owners." http://scceh.com/Portals/6/Env_Health/water_resources/WAC/WAC%20Meeting%202015%2012%209/J5.pdf
2016 March 16	Assessment District mail-in vote deadline
2016 March 17	"Assessment District vote count delayed", <i>Santa Cruz Sentinel</i> . https://www.santacruzsentinel.com/2016/03/17/lompico-water-vote-count-stalled-until-may-4/
2016 May 5	"Lompico votes for merger with San Lorenzo Valley Water District" <i>Santa Cruz Sentinel</i> . "Dealing with increasing stringent state regulations was a challenge for Lompico, limited in revenue with 500 customers compared to 8,800 in the San Lorenzo Valley Water District." "This is terrific, a tremendous ending to a three-year process," said county Supervisor Bruce McPherson." https://www.santacruzsentinel.com/2016/05/05/lompico-votes-for-merger-with-san-lorenzo-valley-water-district/
2016 June 1	"Lompico merger with San Lorenzo Valley Water District is complete", <i>Santa Cruz Sentinel</i> . The Assessment District was "approved by voters 287-74 on May 4...Lompico residents with one hookup will pay \$5,786 over 10 years, about \$48 per month, paying in property tax bills mailed twice a year." "Applications are being sought for the five-member Lompico Oversight Committee." http://www.santacruzsentinel.com/article/NE/20160601/NEWS/160609982
2016 June 2	"Merger Official after Assessment District vote", <i>Santa Cruz County Press Release</i> . "In May, 79.5 percent of District customers voted in favor of a merger." http://www.co.santa-cruz.ca.us/Portals/0/County/CAO/press%20releases/06022016Lompico.pdf
2017 Mar 11	"SLV water should drop unfair Lompico surcharge", <i>Press Banner Commentary</i> by B. Holloway. http://www.goldenstatenewspapers.com/press_banner/slv-water-should-drop-unfair-lompico-surcharge/article_1143a73e-06ef-11e7-b2f8-c773d6a70fd2.html
2017 May 11	District Manager Brian Lee informs LADOC he will no longer attend meetings, and says all further questions must be in writing to the board of directors. LADOC drafts first list of questions, included a request for a meeting with the Finance Manager to work together to design a monthly Finance Report and a quarterly meeting with Rick Rogers, the Director of Operations to obtain updates on Lompico Projects.
2017 Sept 7	"San Lorenzo Valley residents to pay most for water after rate increase", <i>Santa Cruz Sentinel</i> . "According to water district staff, the increase is necessary to fund long-overdue replacement and repair of pipes, pumps and tanks throughout the widespread rural region. The district estimates the work to cost \$30 million over the next 10 years." http://www.santacruzsentinel.com/environment-and-nature/20170927/san-lorenzo-valley-residents-to-pay-most-for-water-after-rate-increase
2017 Oct	LADOC chair memo to the Board of Directors: "The committee has been meeting for fifteen months and has not yet been provided with a report that would assist us in this task [review of expenses]."
2017 Oct 19	BOD meeting. District Manager and director Margaret Bruce propose to either eliminate the Lompico Assessment District Oversight Committee (LADOC) or reduce it to meeting "no more than once a year".

2017 Nov	First financial reports? Per Toni
2018 Jan	First financial reports received by LADOC at a meeting; included water meter changeouts completed in June 2016, and a temporary SCADA (control system) installed in August of 2016.
2018 Feb	End of LADOC monthly meetings, changed to quarterly. A series of resignations resulted in periods having a lack of quorum, with meetings thereafter only in May and November 2018.
2018 May 31	"Encouraging the Flow of Information to the Public", <i>Santa Cruz County Grand Jury report</i> http://www.co.santacruz.ca.us/Portals/0/County/GrandJury/GJ2018_final/SLVWDAndThePublic.pdf
2018 May 31	"San Lorenzo Valley Water District challenged by grand jury findings", <i>Santa Cruz Sentinel</i> . "The San Lorenzo Valley Water District since 2016 has struggled to address divisive issues, management of Lompico Assessment District capital projects and support for the Lompico Citizen Oversight Committee, the Santa Cruz County civil grand jury reported Thursday." https://www.santacruzsentinel.com/2018/05/31/san-lorenzo-valley-water-district-challenged-by-grand-jury-findings/
2018 June 27	Special meeting: SLVWD BOD agenda item 3a (pgs 4-43) Presentation of Grand Jury report to the board and formation of an Ad Hoc committee of directors Baughman and Hayes, to draft a board response to the Grand Jury; Ad Hoc amended to fulfill changes proposed. https://www.slvwd.com/agendas/OTHER/2018/SpecBoDAgenda%206.27.18%20with%20Closed%20Session.pdf
2018 Aug 22	SLVWD board grand jury response. The board "agreed" with all findings and responded to all recommendations with "has not been implemented but will be implemented in the future". http://www.co.santa-cruz.ca.us/Portals/0/County/GrandJury/GJ2018_final/SLVWDAndThePublic_BoD_Response.pdf
2018 Sept 20	BOD regular meeting, agenda item 13.1 SWRCB Permit Amendment [adding Lompico and Manana Woods to SLVWD system] and Supplemental Engineering Report on conditions in Lompico re: redwood tank replacements, water testing, minimum flow requirements of intertie. https://www.slvwd.com/agendas/Full/2018/9-20-2018/BoD%20MEETING%20AGENDA%209.20.18.pdf
2018 Oct 25	"Familiar face to lead SLV", <i>Press Banner</i> ; on appointment of Rick Rogers as District Manager, after serving as interim manager since Brian Lee resigned in August. http://www.goldenstatenewspapers.com/press_banner/news/a-familiar-face-to-lead-slvwd/article_1259c7e6-d87d-11e8-b959-6b5557a6560d.html
2018 Nov 29	"Fultz, Henry, Swan elected to SLVWD BoD", <i>Press Banner</i> . "[Lois] Henry, long-time resident of Lompico,..."served eight years on the board of directors of the Lompico Water District from 2008 to 2016 through the merger with SLVWD. http://www.goldenstatenewspapers.com/press_banner/news/fultz-henry-swan-elected-to-slvwd-bod/article_65fca5ec-f409-11e8-bbb2-5773f02afbb4.html
2019 Jan-Feb	LADOC new charter detailing duties of the committee and district support was written by the committee in a series of workshops; approved and adopted by the Board on March 21. BOD agenda 3.21.19, New Business, item 5B (pages 118-125). http://www.slvwd.com/agendas/Full/2019/3-21-2019/BoD%20MEETING%20AGENDA%203.21.19%20with%20backup.pdf
2019 April-July	LADOC workshops on preparation of first Annual Report. Approved by the board on – [link]

Notes on working copy draft 6.10

Edits/add

- Photos: Toni and Mary Ann
- Mission Statement: Toni to update
- Charter: add link [added to reference list]
- Overview: update financials to June 30 [S.Hill Q4 1819]
- Assessment District vote document: find
- Engineer's report: link to entire report
- Project Overview: Rick will write short descriptions; refer to more detailed descriptions online?
- Photo: news photo PR when intertie was under construction – Rick provided – Mary Ann to review
- The loan: update on status, write short description
- NBS: write short description, and expense itemization here or under project expense detail
- Accounting: write short description of money flow; account earns interest -how much current rate?
- Capital budget history: grand jury says LADOC is to track projects to budgets
- Itemizing expenses per project (including NBS here, or above); chart? Graph? Printout report from S.Hill per project to date?
- Map service line replacements -in progress, Debbie
- Photo: all LADOC members to date
- Committee analysis and statement for this report; add paragraph from committee; presented by?
- Grand Jury: Leave summary for background; edit board response/add links?
- FAQs: review, edit, add
- Timeline of Activity: eliminated section and included highlights in References and Resources.
- Photo: group when the merger agreement was signed, Press release- locate?
- Board history: adding DM and boards by date to Reference list in background
- References: additions, ie: full Engineer's Report (on lafco site?); links to project bids et al SLV site; links to board meeting agenda items on AD-16, ref: Resource list
- Index to LADOC meetings and BOD meetings referencing AD-16 projects or LADOC [in progress] or add summaries to Resource list by date

REVIEW

- Input from the public
- Suggestions by staff

M E M O

TO: Lompico Assessment District Oversight Committee

PREPARED BY: Director of Finance & Business Services

SUBJECT: Q4 FY1819 LADOC Financial Report

DATE: August 27, 2019

RECOMMENDATION:

It is recommended that the Committee review and file the Q4 FY1819 LADOC Financial Report.

BACKGROUND:

The LADOC financial reports are to help monitor assessment revenue and expenditures. Revenue is typically related to the assessments placed on the annual property tax roll. Expenses are typically related to the capital projects identified and any administrative costs associated with the assessment district.

QUARTERLY ACTIVITY:

Revenues:

- Q4 FY1819 had \$147,330 in assessment revenue recognized
- Q4 FY1819 had \$3,257 in interest revenue recognized

Expenses:

- WO #525 – Service Line Replacements
 - Q4 FY1819 had an expense of \$931 recognized. This was for 1 service lines.
- WO #837 – PRV's
 - Q4 FY1819 had \$3K in expenses recognized related mainly to consulting work for the PVR projects. These recently went out for bids and had a contractor awarded the bid. Construction will be starting shortly.
- WO# 1208-1210 – Lewis, Madrone and Kaski Tanks
 - Q4 FY1819 had \$24K for engineering consulting work for the tank projects
- NBS AD management fees of \$1,149 were incurred for Q4 FY1819.

Balance:

- Q4 FY1819 had an available cash balance of ~\$530K in the assessment district fund

LOMPICO ASSESSMENT DISTRICT CASH RECONCILIATION
SUB-FUND 76530200

	7/1/2018	7/31/2018	8/31/2018	9/30/2018	10/31/2018	11/30/2018	12/31/2018	1/31/2019	2/28/2019	3/31/2019	4/30/2019	5/31/2019	6/30/2019	FY1819 YTD
BEG. CASH BALANCE	\$ 353,723.75													
REVENUE														
ASSESSMENT						\$ 4,050.52	\$ 148,527.78				\$ 133,675.00		\$ 13,655.44	\$ 299,908.74
INTEREST		499.80	494.77	475.60	\$ 506.50	\$ 537.29	\$ 807.22	\$ 913.56	\$ 865.77	\$ 859.30	\$ 1,060.62	\$ 1,127.71	\$ 1,068.79	\$ 9,216.93
TOTAL REVENUE	\$ 499.80	\$ 494.77	\$ 475.60	\$ 506.50	\$ 4,587.81	\$ 149,335.00	\$ 913.56	\$ 865.77	\$ 859.30	\$ 134,735.62	\$ 1,127.71	\$ 14,724.23	\$ 309,125.67	
EXPENSES														
NBS AD Services	\$ (1,145.52)				\$ (1,149.21)			\$ (1,149.43)			\$ (1,149.13)			\$ (4,593.29)
WO 525 - Service Lines				\$ (4,915.82)			\$ (10,387.39)			\$ (3,459.36)			\$ (931.48)	\$ (19,694.05)
WO 837 MAIN PRV				\$ (2,335.00)			\$ (14,317.65)			\$ (11,008.75)			\$ (2,630.70)	\$ (30,292.10)
WO 1208 Lewis Tank							\$ (8,688.67)			\$ (6,602.30)			\$ (7,950.83)	\$ (23,241.80)
WO 1209 Madrone Tank							\$ (8,688.67)			\$ (6,602.30)			\$ (7,950.83)	\$ (23,241.80)
WO 1210 Kaski Tank							\$ (8,688.67)			\$ (6,602.30)			\$ (7,950.83)	\$ (23,241.80)
WO ? SCADA SURVEY										\$ (8,256.86)				\$ (8,256.86)
TOTAL EXPENSES	\$ (1,145.52)	\$ -	\$ (7,250.82)	\$ (1,149.21)	\$ -	\$ (50,771.05)	\$ (1,149.43)	\$ -	\$ (42,531.87)	\$ (1,149.13)	\$ -	\$ (27,414.67)	\$ (132,561.70)	
CASH BALANCE	\$ 353,078.03	\$ 353,572.80	\$ 346,797.58	\$ 346,154.87	\$ 350,742.68	\$ 449,306.63	\$ 449,070.76	\$ 449,936.53	\$ 408,263.96	\$ 541,850.45	\$ 542,978.16	\$ 530,287.72	\$ 530,287.72	

SINCE INCEPTION RUNNING TO:	TOTAL	FY1819 YTD	FY1718	FY1617	FY1516
REVENUE	\$ 922,013	\$ 309,126	\$ 301,377	\$ 282,580	\$ 28,930
EXPENSES					
METER PROGRAM	\$ (197,888)			\$ (197,888)	
SCADA	\$ (19,540)			\$ (19,540)	
NBS AD Services	\$ (15,513)	\$ (4,593)	\$ (10,920)		
WO 525 - Service Lines	\$ (43,982)	\$ (19,694)	\$ (24,288)		
WO 837 MAIN PRV	\$ (36,820)	\$ (30,292)	\$ (6,528)		
WO 1208 Lewis Tank	\$ (23,242)	\$ (23,242)			
WO 1209 Madrone Tank	\$ (23,242)	\$ (23,242)			
WO 1210 Kaski Tank	\$ (23,242)	\$ (23,242)			
WO ? SCADA SURVEY	\$ (8,257)	\$ (8,257)			
	\$ (391,725)				
CASH BALANCE	\$ 530,288				



32605 Temecula Parkway, Suite 100
 Temecula, CA 92592
 Toll free: 800.676.7516 (P) 951.296.1997

nbsgov.com

Ms. Stephanie Hill
 Finance Manager
 San Lorenzo Valley Water District
 13060 Highway 9
 Boulder Creek, CA 95006

RECEIVED

MAR 22 2019

SAN LORENZO VALLEY
 WATER DISTRICT

March 20, 2019
 Project No: SANLORENZOVALLEY.19
 15
 Invoice No: 219000352

1915 Act Assessment District Administration Consulting Services

Olympia Mutual Assessment District and Lompico

Fees per Addendum dated 6/9/16:

Lompico \$4,500.00 per year or \$1,125.00 per quarter *LOMASM \$24.13*

Olympia \$3,000.00 per year or \$750.00 per quarter *OLYASM \$16.09*

Invoiced quarterly; expenses are capped at \$750.00 per year

Quarterly administration fees April 1, 2019 through June 30, 2019

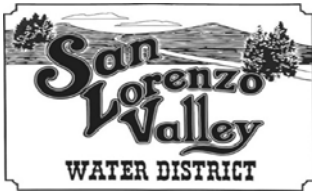
Fee 1,875.00

Reimbursable Expenses

Data Reimbursable	33.22	
Telephone Reimbursable	7.00	
Total Reimbursables	<i>Split 60/40 -</i> 40.22	40.22

TOTAL THIS INVOICE \$1,915.22

APPROVED BY: *3/20/19*
ACCOUNT: 01-100-5200
DATE:
 WO (opt)
 PM (opt)
 DESCRIPTION (opt)



13060 Highway 9
 Boulder Creek, CA 95006-9119
 (831) 338-2153 phone
 (831) 338-7986 fax

LOMPICO SERVICE LINE REPLACEMENT
MASTER LIST OF PROJECTS

Item #	Date Completed	Address	Service Line Material Removed	Total Project Cost
1	8/4/2016	10637 VISITAR ST	Blue Poly	\$ 713.25
2	9/27/2016	10459 LOMITA AVE	Blue Poly	\$ 939.15
3	3/7/2017	11051 LAKE BLVD	Blue Poly	\$ 1,610.74
4	6/26/2017	12301 COLEMAN AVE	Copper	\$ 1,376.73
5	6/26/2017	10463 LOMITA AVE	Blue Poly	\$ 1,393.50
6	7/7/2017	10538 LAKE BLVD	Blue Poly	\$ 1,366.69
7	9/4/2017	11638 UPPER VAN ALLEN	Blue Poly	\$ 892.74
8	10/26/2017	11785 LAKESHORE DR	Blue Poly	\$ 1,251.66
9	11/20/2017	10254 VERA AVE	Blue Poly	\$ 2,081.22
10	11/27/2017	10735 VISITAR ST	Blue Poly	\$ 882.80
11	1/16/2018	10696 LAKE BLVD	Blue Poly	\$ 1,148.05
12	1/21/2018	12211 LA LENA ST	Blue Poly	\$ 446.00
13	1/31/2018	12329 LAKE BLVD	Copper	\$ 1,530.14
14	2/11/2018	12355 LA LENA ST	Blue Poly	\$ 644.21
15	2/13/2018	10695 VERA AVE	Blue Poly	\$ 1,256.83
16	3/14/2018	12384 LA LENA ST	Blue Poly	\$ 1,498.22
17	5/9/2018	11011 LAKE BLVD	Blue Poly	\$ 1,054.54
18	5/23/2018	520 ARBOL ST	Blue Poly	\$ 1,240.93
19	5/30/2018	10769 LAKE BLVD	Blue Poly	\$ 1,424.89
20	6/14/2018	10606 CREEKWOOD DR	Blue Poly	\$ 900.81
21	6/23/2018	10590 LAGUNA ST	Blue Poly	\$ 634.77
22	8/9/2018	10816 VISITAR ST	Blue Poly	\$ 876.61
23	8/19/2018	12212 LA LENA ST	Blue Poly	\$ 725.14
24	9/1/2018	11866 VAN ALLEN RD	Blue Poly	\$ 910.43
25	9/18/2018	11482 LAKE BLVD	Blue Poly	\$ 1,390.04
26	9/21/2018	10328 LAKE BLVD	Blue Poly	\$ 1,013.60
27	10/2/2018	11516 LAKE BLVD	Blue Poly	\$ 1,319.97
28	10/16/2018	10290 LAKE BLVD	Blue Poly	\$ 1,234.49
29	10/31/2018	11568 LAKE BLVD	Blue Poly	\$ 1,411.57
30	11/9/2018	10545 CREEKWOOD DR	Blue Poly	\$ 1,223.77
31	11/26/2018	10610 VISITAR ST	Blue Poly	\$ 1,458.50
32	11/27/2018	10414 LAKE BLVD	Blue Poly	\$ 1,582.01
33	12/7/2018	10405 LAKE BLVD	Blue Poly	\$ 1,459.50
34	12/22/2018	11101 SEQUOIA AVE	Blue Poly	\$ 697.58
35	1/2/2019	10926 SEQUOIA AVE	Blue Poly	\$ 1,119.47
36	1/25/2019	10641 VISITAR ST	Blue Poly	\$ 1,180.66
37	1/30/2019	11844 SUNSET CT	Blue Poly	\$ 1,159.23
38	6/20/2019	10585 VERA AVE	Blue Poly	\$ 931.48
				(1) \$ 43,981.92

(1) These are unaudited numbers, still subject to full review before capitalization for year-end close.

SAN LORENZO VALLEY WATER DISTRICT

MAIN PRV LOMPICO STATION REPLACEMENTS

PRIOR FY BALANCE	\$	-
-------------------------	----	---

FY1718						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ -	\$ 372.72	\$ -	\$ 372.72	
LABOR OVERHEAD	\$ -	\$ -	\$ 180.40	\$ -	\$ 180.40	
INVENTORY	\$ -	\$ -	\$ -	\$ -	\$ -	
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	
CONTRACTED FEES	\$ -	\$ -	\$ -	\$ 5,975.00	\$ 5,975.00	
	\$ -	\$ -	\$ 553.12	\$ 5,975.00	\$ 6,528.12	

FY1819						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ 937.60	\$ -	\$ -	\$ 937.60	
LABOR OVERHEAD	\$ -	\$ 453.80	\$ -	\$ -	\$ 453.80	
INVENTORY	\$ -	\$ -	\$ -	\$ 245.70	\$ 245.70	
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	
CONTRACTED FEES	\$ 2,335.00	\$ 12,926.25	\$ 11,008.75	\$ 2,385.00	\$ 28,655.00	
	\$ 2,335.00	\$ 14,317.65	\$ 11,008.75	\$ 2,630.70	\$ 30,292.10	

PROJECT TOTAL TO DATE	\$	36,820.22
------------------------------	----	------------------

SAN LORENZO VALLEY WATER DISTRICT
 MAIN PRV LOMPICO STATION REPLACEMENTS

INVENTORY

FY 1718									
Item #	Inventory #	Description	Units	Amount Per Unit	Q1	Q2	Q3	Q4	Total Amount
									\$ -
									\$ -
									\$ -
									\$ -
					\$ -	\$ -	\$ -	\$ -	\$ -
FY 1819									
Item #	Inventory #	Description	Units	Amount Per Unit	Q1	Q2	Q3	Q4	Total Amount
1	8010-104		3.00	\$ 14.11				\$ 42.32	\$ 42.32
	1102-103		1.00	\$ 1.90				\$ 1.90	\$ 1.90
2	6004-022		1.00	\$ 175.75				\$ 175.75	\$ 175.75
	1102-103		1.00	\$ 1.90				\$ 1.90	\$ 1.90
	1102-440		1.00	\$ 3.91				\$ 3.91	\$ 3.91
3	1102-440		1.00	\$ 3.91				\$ 3.91	\$ 3.91
	1102-103		1.00	\$ 1.90				\$ 1.90	\$ 1.90
	8010-104		1.00	\$ 14.11				\$ 14.11	\$ 14.11
					\$ -	\$ -	\$ -	\$ 245.70	\$ 245.70

SAN LORENZO VALLEY WATER DISTRICT

MAIN PRV LOMPICO STATION REPLACEMENTS

CONTRACTED FEES

FY 1718								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
1	4/9/2018	INV #3079_LAKE BLVD. PRV	WATER SYSTEMS CONSULTING, INC.				\$ 1,102.50	\$ 1,102.50
2	4/24/2018	INV #041318_SURVEY WORK_LAKE BLVD PRV	PAUL JENSEN				\$ 2,150.00	\$ 2,150.00
3	5/16/2018	INV #3153_TASK 1 DESIGN_LAKE BLVD PRV	WATER SYSTEMS CONSULTING, INC.				\$ 2,382.50	\$ 2,382.50
4	6/20/2018	INV #3209_PROJECT MANAGEMENT_PRV	WATER SYSTEMS CONSULTING, INC.				\$ 340.00	\$ 340.00
				<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 5,975.00</u>	<u>\$ 5,975.00</u>
FY 1819								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
5	9/14/2018	INV #AUG2018_695_LOMPICO PRV SURVEYING	PAUL JENSEN	\$ 2,335.00				\$ 2,335.00
6	12/5/2018	LOMPICO PRVS_WO#837	WATER SYSTEMS CONSULTING, INC.		\$ 12,926.25			\$ 12,926.25
7	1/16/2019	LOMPICO PRVS_WO#837	WATER SYSTEMS CONSULTING, INC.			\$ 3,827.50		\$ 3,827.50
8	2/20/2019	SERVICES RENDERED 01/01/19-01/31/19_LOMPICO PRVS_WO#837	WATER SYSTEMS CONSULTING, INC.			\$ 7,181.25		\$ 7,181.25
9	6/12/2019	LOMPICO SURVERY FOR PRV	PAUL JENSEN				\$ 2,385.00	\$ 2,385.00
				<u>\$ 2,335.00</u>	<u>\$ 12,926.25</u>	<u>\$ 11,008.75</u>	<u>\$ 2,385.00</u>	<u>\$ 28,655.00</u>

PAUL F. JENSEN
 PROFESSIONAL LAND SURVEYOR #4627
 2151 Sunny Acres Drive
 Santa Cruz, CA 95060
 Phone: (831) 440-9680



March 29, 2019


San Lorenzo Valley W. D.
 13060 Highway 9
 Boulder Creek, CA. 95006

STATEMENT



March Statement

Lompico PRV'S

DATE	DESCRIPTION	AMOUNT
March 12, 2019	Survey work for site Topography of PRVS 2, Lompico.	
	Field work 4.5 hours @ \$190/hr.	\$ 855.00
	Office work research and prepare maps 4 hour @ \$90/hr.	<u>\$ 360.00</u>
March 14, 2019	Survey work for site Topography of PRVS 3, Lompico.	
	Field work 4.5 hours @ \$190/hr.	\$ 855.00
	Office work research and prepare maps 3.5 hour @ \$90/hr.	<u>\$ 315.00</u>
	Balance Due	\$2,385.00
<p>APPROVED BY: </p> <p>ACCOUNT: <u>01 000 5200 1305</u></p> <p>DATE: <u>6/10/2019</u></p> <p>IFO (opt)</p> <p>PM (opt)</p> <p>DESCRIPTION (opt): <u>Lompico SURVEY FOR PRV</u></p>		

SAN LORENZO VALLEY WATER DISTRICT

WO 1208 - LEWIS TANK

PRIOR FY BALANCE	\$	-
-------------------------	----	---

FY1718						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LABOR OVERHEAD	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
INVENTORY	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CONTRACTED FEES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

FY1819						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LABOR OVERHEAD	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
INVENTORY	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CONTRACTED FEES	\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.84	\$ 23,241.81	\$ 23,241.81
	\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.84	\$ 23,241.81	\$ 23,241.81

PROJECT TOTAL TO DATE	\$	23,241.81
------------------------------	----	------------------

SAN LORENZO VALLEY WATER DISTRICT

WO 1208 - LEWIS TANK

CONTRACTED FEES

FY 1718								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
								\$ -
								\$ -
								\$ -
								\$ -
				\$ -	\$ -	\$ -	\$ -	\$ -
FY 1819								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
1	10/15/2018	PROFESSIONAL SERVICES_LOMPICO ASSESSMENT DISTRICT	SCHAAF & WHEELER		\$ 1,735.00			\$ 1,735.00
2	11/16/2018	LOMPICO TANKS_LOMASM	SCHAAF & WHEELER		\$ 3,574.12			\$ 3,574.12
3	12/27/2018	LOMPICO TANKS_PROJECT MGMT & PRELIMINARY DESIGN	SCHAAF & WHEELER		\$ 3,379.55			\$ 3,379.55
4	1/17/2019	LOMPICO TANKS_PRELIMINARY DESIGN	SCHAAF & WHEELER			\$ 560.88		\$ 560.88
5	3/21/2019	LOMPICO TANKS	SCHAAF & WHEELER			\$ 6,041.42		\$ 6,041.42
6	4/16/2019	LOMPICO TANKS_60 O/O DESIGN	SCHAAF & WHEELER				\$ 1,915.00	\$ 1,915.00
7	5/21/2019	LOMPICO TANKS DESIGN_BILLING THROUGH APRIL 2019	SCHAAF & WHEELER				\$ 4,610.84	\$ 4,610.84
8	6/18/2019	LOMPICO TANKS_PROJECT MANAGEMENT	SCHAAF & WHEELER				\$ 332.50	\$ 332.50
9	6/30/2019	ACCRUAL - LOMPICO TANKS_BILLED THROUGH 05.31.19	SCHAAF & WHEELER				\$ 1,092.50	\$ 1,092.50
				\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.84	\$ 23,241.81

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

RECEIVED

APR 12 2019

SAN LORENZO VALLEY
WATER DISTRICT

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Invoice

Invoice Date: Mar 31, 2019

Invoice Num: 31025

Billing Through: Mar 31, 2019

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification

	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project MANAGER	8.50	\$220.00	\$1,870.00
JUNIOR ENGINEER	25.00	\$155.00	\$3,875.00

Total Services: \$5,745.00

Project (SLVW.01.18:003) Total Amount Due: \$5,745.00

Amount Due This Invoice: \$5,745.00

This invoice is due on 4/30/2019

APPROVED BY: 

ACCOUNT: 01 - 000 - 1565

DATE: 4/12/19

WO (opt)

PM (opt)

DESCRIPTION (opt) Cap 16170002

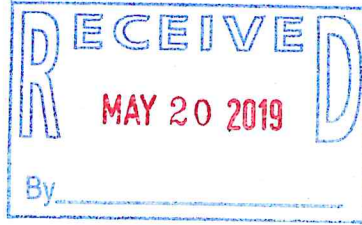
Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006



Invoice

Invoice Date: Apr 30, 2019
Invoice Num: 31143
Billing Through: Apr 30, 2019

Lomppico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification	Hours	Rate	Amount
SENIOR Project MANAGER	17.50	\$220.00	\$3,850.00
ASSISTANT ENGINEER	60.50	\$165.00	\$9,982.50

Total Services: \$13,832.50

Project (SLVW.01.18:003) Total Amount Due: \$13,832.50

Amount Due This Invoice: \$13,832.50

This invoice is due on 5/30/2019

APPROVED BY: *[Signature]*
ACCOUNT: 01 - 000 - 1565
DATE: 5/21/19
WO (opt)
PM (opt)
DESCRIPTION (opt) Cap 617072

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

May 17, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 3, 60% Design: Preparing 60% plans and specifications.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

RECEIVED

JUN 14 2019

**SAN LORENZO VALLEY
WATER DISTRICT**

Invoice

Invoice Date: May 31, 2019

Invoice Num: 31244

Billing Through: May 31, 2019

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Lompico Tanks - Project Management (SLVW.01.18:001) - Managed by (AAS)

Professional Services:

Classification

	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project Manager	1.50	\$225.00	\$337.50
SENIOR Project MANAGER	3.00	\$220.00	\$660.00
Total Services:			\$997.50
Project (SLVW.01.18:001) Total Amount Due:			\$997.50
Amount Due This Invoice:			\$997.50

This invoice is due on 6/30/2019

APPROVED BY:

ACCOUNT:

DATE:

WO (opt)

PM (opt)

DESCRIPTION (opt)



01 - 000 - 1565

6/17/19

CAPL617002

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Invoice

Invoice Date: May 31, 2019

Invoice Num: 31231

Billing Through: May 31, 2019

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

<u>Classification</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project Manager	1.00	\$225.00	\$225.00
ASSISTANT ENGINEER	18.50	\$165.00	\$3,052.50
Total Services:			\$3,277.50
Project (SLVW.01.18:003) Total Amount Due:			\$3,277.50
Amount Due This Invoice:			\$3,277.50

This invoice is due on 6/30/2019

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

June 9, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 1, Project Management: Modeling review meetings, and coordination with CEQA consultant.

Task 3, 60% Design: Prepared and submitted the 60% design package.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Invoice

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Invoice Date: May 31, 2019
Invoice Num: 31231
Billing Through: May 31, 2019

Lomppico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification

	Hours	Rate	Amount
SENIOR Project Manager	1.00	\$225.00	\$225.00
ASSISTANT ENGINEER	18.50	\$165.00	\$3,052.50

Total Services: **\$3,277.50**

Project (SLVW.01.18:003) Total Amount Due: **\$3,277.50**

Amount Due This Invoice: **\$3,277.50**

This invoice is due on 6/30/2019



Mulloy
APPROVED BY:
ACCOUNT: 01-000-1565
DATE: 7/10/19
WO (opt)
PM (opt)
DESCRIPTION (opt) OPP1617002

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

June 9, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 1, Project Management: Modeling review meetings, and coordination with CEQA consultant.

Task 3, 60% Design: Prepared and submitted the 60% design package.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

SAN LORENZO VALLEY WATER DISTRICT

WO 1209 - MADRONE TANK

PRIOR FY BALANCE	\$	-
-------------------------	----	---

FY1718						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ -	\$ -	\$ -	\$ -	
LABOR OVERHEAD	\$ -	\$ -	\$ -	\$ -	\$ -	
INVENTORY	\$ -	\$ -	\$ -	\$ -	\$ -	
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	
CONTRACTED FEES	\$ -	\$ -	\$ -	\$ -	\$ -	
	\$ -	\$ -	\$ -	\$ -	\$ -	

FY1819						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ -	\$ -	\$ -	\$ -	
LABOR OVERHEAD	\$ -	\$ -	\$ -	\$ -	\$ -	
INVENTORY	\$ -	\$ -	\$ -	\$ -	\$ -	
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	
CONTRACTED FEES	\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.84	\$ 23,241.81	
	\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.84	\$ 23,241.81	

PROJECT TOTAL TO DATE	\$	23,241.81
------------------------------	----	------------------

SAN LORENZO VALLEY WATER DISTRICT

WO 1209 - MADRONE TANK

CONTRACTED FEES

FY 1718								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
								\$ -
								\$ -
								\$ -
								\$ -
				\$ -	\$ -	\$ -	\$ -	\$ -
FY 1819								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
1	10/15/2018	PROFESSIONAL SERVICES_LOMPICO ASSESSMENT DISTRICT	SCHAAF & WHEELER		\$ 1,735.00			\$ 1,735.00
2	11/16/2018	LOMPICO TANKS_LOMASM	SCHAAF & WHEELER		\$ 3,574.12			\$ 3,574.12
3	12/27/2018	LOMPICO TANKS_PROJECT MGMT & PRELIMINARY DESIGN	SCHAAF & WHEELER		\$ 3,379.55			\$ 3,379.55
4	1/17/2019	LOMPICO TANKS_PRELIMINARY DESIGN	SCHAAF & WHEELER			\$ 560.88		\$ 560.88
5	3/21/2019	LOMPICO TANKS	SCHAAF & WHEELER			\$ 6,041.42		\$ 6,041.42
6	4/16/2019	LOMPICO TANKS_60 O/O DESIGN	SCHAAF & WHEELER				\$ 1,915.00	\$ 1,915.00
7	5/21/2019	LOMPICO TANKS DESIGN_BILLING THROUGH APRIL 2019	SCHAAF & WHEELER				\$ 4,610.84	\$ 4,610.84
8	6/18/2019	LOMPICO TANKS_PROJECT MANAGEMENT	SCHAAF & WHEELER				\$ 332.50	\$ 332.50
9	6/30/2019	ACCRUAL - LOMPICO TANKS_BILLED THROUGH 05.31.19	SCHAAF & WHEELER				\$ 1,092.50	\$ 1,092.50
				\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.84	\$ 23,241.81

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

RECEIVED

APR 12 2019

SAN LORENZO VALLEY
WATER DISTRICT

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Invoice

Invoice Date: Mar 31, 2019

Invoice Num: 31025

Billing Through: Mar 31, 2019

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification

	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project MANAGER	8.50	\$220.00	\$1,870.00
JUNIOR ENGINEER	25.00	\$155.00	\$3,875.00

Total Services: **\$5,745.00**

Project (SLVW.01.18:003) Total Amount Due: **\$5,745.00**

Amount Due This Invoice: **\$5,745.00**

This invoice is due on 4/30/2019

APPROVED BY: 

ACCOUNT: 01 - 000 - 1565

DATE: 4/12/19

WO (opt)

PM (opt)

DESCRIPTION (opt) Cap 16170002

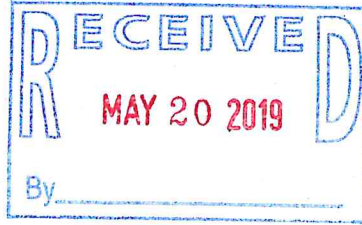
Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006



Invoice

Invoice Date: Apr 30, 2019
Invoice Num: 31143
Billing Through: Apr 30, 2019

Lomppico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification	Hours	Rate	Amount
SENIOR Project MANAGER	17.50	\$220.00	\$3,850.00
ASSISTANT ENGINEER	60.50	\$165.00	\$9,982.50

Total Services: \$13,832.50

Project (SLVW.01.18:003) Total Amount Due: \$13,832.50

Amount Due This Invoice: \$13,832.50

This invoice is due on 5/30/2019

APPROVED BY: *[Signature]*
ACCOUNT: 01 - 000 - 1565
DATE: 5/21/19
WO (opt)
PM (opt)
DESCRIPTION (opt) Cap 617072

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

May 17, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 3, 60% Design: Preparing 60% plans and specifications.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

RECEIVED

JUN 14 2019

**SAN LORENZO VALLEY
WATER DISTRICT**

Invoice

Invoice Date: May 31, 2019

Invoice Num: 31244

Billing Through: May 31, 2019


Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Lompico Tanks - Project Management (SLVW.01.18:001) - Managed by (AAS)

Professional Services:

<u>Classification</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project Manager	1.50	\$225.00	\$337.50
SENIOR Project MANAGER	3.00	\$220.00	\$660.00
Total Services:			\$997.50
Project (SLVW.01.18:001) Total Amount Due:			\$997.50
Amount Due This Invoice:			\$997.50

This invoice is due on 6/30/2019

APPROVED BY: 
ACCOUNT: 01 - 000 - 1565
DATE: 6/17/19
WO (opt)
PM (opt)
DESCRIPTION (opt) CAPL617002

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Invoice

Invoice Date: May 31, 2019

Invoice Num: 31231

Billing Through: May 31, 2019

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

<u>Classification</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project Manager	1.00	\$225.00	\$225.00
ASSISTANT ENGINEER	18.50	\$165.00	\$3,052.50
Total Services:			\$3,277.50
Project (SLVW.01.18:003) Total Amount Due:			\$3,277.50
Amount Due This Invoice:			\$3,277.50

This invoice is due on 6/30/2019

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

June 9, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 1, Project Management: Modeling review meetings, and coordination with CEQA consultant.

Task 3, 60% Design: Prepared and submitted the 60% design package.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Invoice

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Invoice Date: May 31, 2019
Invoice Num: 31231
Billing Through: May 31, 2019

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification

	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project Manager	1.00	\$225.00	\$225.00
ASSISTANT ENGINEER	18.50	\$165.00	\$3,052.50
Total Services:			\$3,277.50

Project (SLVW.01.18:003) Total Amount Due: \$3,277.50

Amount Due This Invoice: \$3,277.50

This invoice is due on 6/30/2019



Mulloy
APPROVED BY:
ACCOUNT: 01-000-1565
DATE: 7/10/19
WO (opt)
PM (opt)
DESCRIPTION (opt) OPP1617002

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

June 9, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

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Task 1, Project Management: Modeling review meetings, and coordination with CEQA consultant.

Task 3, 60% Design: Prepared and submitted the 60% design package.

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Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

SAN LORENZO VALLEY WATER DISTRICT

WO 1210 - KASKI TANK

PRIOR FY BALANCE	\$	-
-------------------------	----	---

FY1718						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LABOR OVERHEAD	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
INVENTORY	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CONTRACTED FEES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

FY1819						
DESCRIPTION	Q1	Q2	Q3	Q4	TOTAL	
LABOR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LABOR OVERHEAD	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
INVENTORY	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
MATERIALS & SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CONTRACTED FEES	\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.83	\$ 23,241.80	\$ 23,241.80
	\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.83	\$ 23,241.80	\$ 23,241.80

PROJECT TOTAL TO DATE	\$	23,241.80
------------------------------	----	------------------

SAN LORENZO VALLEY WATER DISTRICT

WO 1210 - KASKI TANK

CONTRACTED FEES

FY 1718								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
								\$ -
								\$ -
								\$ -
								\$ -
				\$ -	\$ -	\$ -	\$ -	\$ -
FY 1819								
Item #	Date	Description	Vendor	Q1	Q2	Q3	Q4	Total Amount
1	10/15/2018	PROFESSIONAL SERVICES_LOMPICO ASSESSMENT DISTRICT	SCHAAF & WHEELER		\$ 1,735.00			\$ 1,735.00
2	11/16/2018	LOMPICO TANKS_LOMASM	SCHAAF & WHEELER		\$ 3,574.12			\$ 3,574.12
3	12/27/2018	LOMPICO TANKS_PROJECT MGMT & PRELIMINARY DESIGN	SCHAAF & WHEELER		\$ 3,379.55			\$ 3,379.55
4	1/17/2019	LOMPICO TANKS_PRELIMINARY DESIGN	SCHAAF & WHEELER			\$ 560.88		\$ 560.88
5	3/21/2019	LOMPICO TANKS	SCHAAF & WHEELER			\$ 6,041.42		\$ 6,041.42
6	4/16/2019	LOMPICO TANKS_60 O/O DESIGN	SCHAAF & WHEELER				\$ 1,915.00	\$ 1,915.00
7	5/21/2019	LOMPICO TANKS DESIGN_BILLING THROUGH APRIL 2019	SCHAAF & WHEELER				\$ 4,610.83	\$ 4,610.83
8	6/18/2019	LOMPICO TANKS_PROJECT MANAGEMENT	SCHAAF & WHEELER				\$ 332.50	\$ 332.50
9	6/30/2019	ACCRUAL - LOMPICO TANKS_BILLED THROUGH 05.31.19	SCHAAF & WHEELER				\$ 1,092.50	\$ 1,092.50
				\$ -	\$ 8,688.67	\$ 6,602.30	\$ 7,950.83	\$ 23,241.80

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

RECEIVED

APR 12 2019

SAN LORENZO VALLEY
WATER DISTRICT

Invoice

Invoice Date: Mar 31, 2019

Invoice Num: 31025

Billing Through: Mar 31, 2019

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification

	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project MANAGER	8.50	\$220.00	\$1,870.00
JUNIOR ENGINEER	25.00	\$155.00	\$3,875.00

Total Services: **\$5,745.00**

Project (SLVW.01.18:003) Total Amount Due: **\$5,745.00**

Amount Due This Invoice: **\$5,745.00**

This invoice is due on 4/30/2019

APPROVED BY: 

ACCOUNT: 01 - 000 - 1565

DATE: 4/12/19

WO (opt)

PM (opt)

DESCRIPTION (opt) Cap 16170002

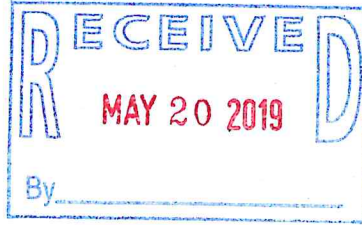
Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006



Invoice

Invoice Date: Apr 30, 2019
Invoice Num: 31143
Billing Through: Apr 30, 2019

Lomppico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification	Hours	Rate	Amount
SENIOR Project MANAGER	17.50	\$220.00	\$3,850.00
ASSISTANT ENGINEER	60.50	\$165.00	\$9,982.50

Total Services: \$13,832.50

Project (SLVW.01.18:003) Total Amount Due: \$13,832.50

Amount Due This Invoice: \$13,832.50

This invoice is due on 5/30/2019

APPROVED BY: *[Signature]*
ACCOUNT: 01 - 000 - 1565
DATE: 5/21/19
WO (opt)
PM (opt)
DESCRIPTION (opt) Cap 617072

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

May 17, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 3, 60% Design: Preparing 60% plans and specifications.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

RECEIVED

JUN 14 2019

**SAN LORENZO VALLEY
WATER DISTRICT**

Invoice

Invoice Date: May 31, 2019

Invoice Num: 31244

Billing Through: May 31, 2019

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Lompico Tanks - Project Management (SLVW.01.18:001) - Managed by (AAS)

Professional Services:

Classification

	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project Manager	1.50	\$225.00	\$337.50
SENIOR Project MANAGER	3.00	\$220.00	\$660.00
Total Services:			\$997.50
Project (SLVW.01.18:001) Total Amount Due:			\$997.50
Amount Due This Invoice:			\$997.50

This invoice is due on 6/30/2019

APPROVED BY:

ACCOUNT:

DATE:

WO (opt)

PM (opt)

DESCRIPTION (opt)



01 - 000 - 1565

6/17/19

CAPL617002

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

1171 Homestead Road, Suite 255
Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Invoice

Invoice Date: May 31, 2019

Invoice Num: 31231

Billing Through: May 31, 2019

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

<u>Classification</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
SENIOR Project Manager	1.00	\$225.00	\$225.00
ASSISTANT ENGINEER	18.50	\$165.00	\$3,052.50
Total Services:			\$3,277.50
Project (SLVW.01.18:003) Total Amount Due:			\$3,277.50
Amount Due This Invoice:			\$3,277.50

This invoice is due on 6/30/2019

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

June 9, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 1, Project Management: Modeling review meetings, and coordination with CEQA consultant.

Task 3, 60% Design: Prepared and submitted the 60% design package.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

Schaaf & Wheeler

CONSULTING CIVIL ENGINEERS

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Santa Clara, CA 95050
Tel: 408-246-4848

Offices
Santa Clara
San Francisco
Salinas
Santa Rosa

Invoice

Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Invoice Date: May 31, 2019
Invoice Num: 31231
Billing Through: May 31, 2019

Lompico Tanks - 60 o/o Design (SLVW.01.18:003) - Managed by (AAS)

Professional Services:

Classification

	Hours	Rate	Amount
SENIOR Project Manager	1.00	\$225.00	\$225.00
ASSISTANT ENGINEER	18.50	\$165.00	\$3,052.50

Total Services: **\$3,277.50**

Project (SLVW.01.18:003) Total Amount Due: **\$3,277.50**

Amount Due This Invoice: **\$3,277.50**

This invoice is due on 6/30/2019



APPROVED BY: *Mulloy*

ACCOUNT: 01-000-1565

DATE: 7/10/19

WO (opt)

PM (opt)

DESCRIPTION (opt) OPP1617002

Schaaf & Wheeler
CONSULTING CIVIL ENGINEERS

3 Quail Run Circle, Suite 101
Salinas, CA 93907
831-883-4848
FAX 831-758-6328

June 9, 2019

Mr. Rick Rogers
San Lorenzo Valley Water District
13060 Highway 9
Boulder Creek, CA 95006

Re: Invoice for Lompico Water Tanks Design

Dear Rick:

The enclosed invoice is for the subject project. Our effort this month included:

Task 1, Project Management: Modeling review meetings, and coordination with CEQA consultant.

Task 3, 60% Design: Prepared and submitted the 60% design package.

Please contact me with questions at (831) 883-4848, or by e-mail at asterbenz@swsv.com.

Very truly yours,
SCHAAF & WHEELER



Andrew A. Sterbenz, P.E.
Project Engineer

LOMPICO ASSESSMENT DISTRICT ANNUAL REPORT 2019



This report covers the time period from inception
June 1, 2016 through June 30, 2019

The Lompico Assessment District Oversight Committee (LADOC), with the approval of the San Lorenzo Valley Water District (SLVWD) Board of Directors (BoD) is pleased to present the LADOC 2019 First Annual Report. This report covers the time period from 6/1/2016 through 6/30/2019.

LADOC will strive to deliver the report each remaining year of the Assessment District term, which is scheduled to continue through June of 2025.

Insert photo MAL/TN 1

We are committed to meeting the following Annual Report guidelines as specified in the

LADOC Charter:

1. A statement indicating whether the proposed Assessment District expenditures are in compliance with the requirements as set forth in the Assessment District ballot measure Engineer's Report.
2. A statement indicating whether the prior fiscal year Assessment District expenditures have been reviewed by LADOC and are in compliance with the requirements as set forth in the Assessment District ballot measure Engineer's Report. Said statement shall include an itemization and summary of the prior fiscal year Assessment District revenues and expenditures. Included in the itemization and summary shall be information about any loans related to completing the Assessment District projects (i.e., terms, interest and balances.)
3. Any other information LADOC deems as useful for furthering understanding of Assessment District revenue and expenses, projects, funding, history or purpose.
4. The Annual Report shall be based on the District Fiscal Year and will be completed as soon as possible after June 30, each year, using preliminary data.

LADOC MISSION STATEMENT

To serve as a Liaison between the Lompico Assessment District customers and the District. To strive to advocate for the community and obtain answers to questions and concerns regarding Lompico Assessment District expenditures and projects.

Commitment to Excellence. LADOC is committed to applying the highest standards to public outreach, research and reporting, with excellent representation on behalf of AD-16 property owners, exemplifying the District's commitment to transparency and support.

LADOC PURPOSE

The purpose of the Committee is to review and oversee income and expenses related to construction projects in the Assessment District AD-16 Engineer's Report, to serve as liaison for customers residing within the Lompico Assessment District boundaries, and to inform the Board and public at least annually concerning the revenue and expenditure of assessment district proceeds and projects approved by the voters of Lompico on March 6, 2015, by issuing a written report.

COMMITTEE ESTABLISHED

The Board of Directors (the "Board") of San Lorenzo Valley Water District (the "District") established the Lompico Assessment District Oversight Committee (LADOC, or the "Committee"), in accordance with Local Agency Formation Commission (LAFCO) resolution 953-A for annexation, also called the "merger" agreement. On May 4, 2016, Lompico property owners voted in favor of a 10-year assessment district (AD 2016-1) to generate \$2.75 million in revenue to repair, replace and upgrade infrastructure in the Lompico service area, as requirement for joining the District. The resolution was amended from a "bond" to an "assessment" for the revenue source and went into effect on June 1, 2016. The first five members of the Lompico Oversight Committee were seated on July 21, 2016 by Board action, in accordance with provisions of amendment to Section 15 of the Board Procedure Manual (May 19, 2016) and duties as amended on January 28, 2019 in an updated charter. Many of these changes were initiated due to recommendations outlined in the Grand Jury Report "Encouraging the Flow of Information to the Public" published May 31, 2018.

SUMMARY OF FINDINGS

LOMPICO ASSESSMENT DISTRICT BALANCE SHEET					
		FY1819			
Running Totals Since Inception	TOTAL	YTD	FY1718	FY1617	FY1516
ASSESSMENT REVENUE	\$768,787	\$155,899	\$301,377	\$282,580	\$28,930
EXPENSES					
METER PROGRAM	-\$197,888			-\$197,888	
SCADA	-\$19,540			-\$19,540	
SERVICE LINES	-\$39,591	-\$15,303	-\$24,288		
MAIN PRV	-\$23,181	-\$16,653	-\$6,528		
Lewis Tank	-\$8,689	-\$8,689			
Madrone Tank	-\$8,689	-\$8,689			
Kaski Tank	-\$8,689	-\$8,689			
NBS Administration Services*	-\$13,214				
	-\$319,481				
CASH BALANCE	\$449,307				

*Company that provides administrative services for Assessment Districts

LOMPICO ASSESSMENT DISTRICT PROJECTS AND EXPENSES*				
ASSESSMENT DISTRICT PROJECTS	STATUS	Assessment Estimate	BID	Prelim work Total
Install 3 New Bolted Steel Tanks		\$ 682,500		
Lewis Tank(s) – replace two	Engineering and consulting completed-awaiting RFQ response			\$ 8,689
Madrone Tank(s) – replace two	Engineering and consulting completed			\$ 8,689
Kaski Tank(s) -replace two	Engineering and consulting completed			\$ 8,689
Refurbish Mill Creek WTP	Project cancelled - see details	\$ 105,000		\$ -
Service Line and Meter Replacements		\$ 862,500		
Meter Program	Complete			\$ 197,888
Service Line/Lateral	Ongoing - 34 replaced - see details			\$ 39,591
Distribution System Interconnect	Ongoing - see details	\$ 301,000		\$ -
SCADA System	Temporary- see details	\$ 441,000		\$ 19,540
Remove and Replace Existing PRVs	In progress	\$ 358,000		
6 PRV units on water mains	Expenses for consulting work. Awarded Bid for all \$468k Feb 2019		x	\$ 23,181

* Represents all Assessment revenue and expenses since inception of Lompico Assessment District. Please see Assessment District AD-16 Engineer's Report included for additional details regarding estimates

LETTER FROM THE CHAIR

Dear Residents of the Lompico Assessment District and Customers of SLVWD,

It's been a tough three years, but finally we are on track for meeting our goal to deliver safe, reliable, clean water to the taps of Lompico Assessment District homes.

- Thanks to a grant provided by the State of California, we have an Intertie connected to SLVWD that consistently delivers the safe, clean water we need and deserve. As the District Manager will explain in his report, updates to the Intertie are still required to meet future flow requirements.
- Finally, there are specific plans and timelines in place to address all of the projects listed in the Assessment District Engineer's Report. You'll read the details in the District Manager report
- We have a District Manager that is extremely knowledgeable about the specific water needs of the entire San Lorenzo Valley, including Lompico. He is dedicated to completing the Assessment projects as quickly and efficiently as possible always with an eye to cost savings. DM Rick Rogers is also accessible, responsive and quick in addressing our concerns.
- Your LADOC Committee now has access to the SLVWD Finance Director, Stephanie Hill. She and Rick Rogers meet with us quarterly to provide a detailed financial report updating us on the expenditures of the Assessment District funds and status regarding the Assessment Engineering Report projects. Under the direction of the previous District Manager, we were allowed minimal interaction with the SLVWD Finance Department, and were provided very little financial information. Towards the end of the previous District Manager's term we engaged the Budget and Finance Committee directly and were able to obtain the very first Assessment District Quarterly Finance Report and negotiate for the ongoing LADOC Quarterly Finance meetings.
- We now have a SLVWD Board in place that understands and supports the terms of the Merger Agreement's requirement for an Oversight Committee. They support us in giving us access to information, support from their staff and support our desire and responsibility to report back to the Lompico Assessment District Community.
- With the full support and approval of the Board, with no restrictions, our committee published both the Lompico Assessment District Oversight Committee (LADOC) Charter and the Assessment District First (ever!) Annual Report.

While I can't say all the recommended steps to support the Lompico Assessment District Oversight Committee (LADOC) listed in last year's May 2018 Grand Jury have been fulfilled, many have and I have confidence that we'll get there soon.

We welcome both the residents living in the Lompico Assessment District and all customers of San Lorenzo Valley Water District to attend our Quarterly Meetings that are held currently at the SLVWD Operations Building. We schedule additional meetings, as needed and try to hold them at the Zayante Fire Station, if the space is available. All meetings are posted on the SLVWD.com website and the public is always welcome.

For those of you that would like to see details of why it's taken so long to get our first Annual Report published, I invite you to read the LADOC Chair Timeline which is posted to the LADOC page at SLVWD.COM. You'll find our page linked in the menu at the bottom of the Main SLVWD.com site.

I am certain you all join me in my hope that our projects will be successfully completed, Lompico's Infrastructure will be safe and sound and the LADOC's responsibilities will be fulfilled!

Sincerely,

Toni Norton
Lompico Resident and LADOC Chair

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DRAFT To Do list on last page 6-10 version

Brief History of Lompico County Water District



Lompico County Water District was formed in 1963 by the community of Lompico Canyon, located within the watershed of San Lorenzo River in the Santa Cruz Mountains. In 1964, the 70 registered voters in Lompico approved a 1.5 million dollar water infrastructure bond. In 1974 the State of California set a moratorium limiting customer hookups to no more than 500, based on a limited water supply. The system was completed in 1978, and the last of the four series of 40-year bonds paid off in 2018.

The district's water sources were from Lompico Creek, a federally protected steelhead trout habitat, and half a dozen wells located in the low-yield Monterey aquifer.

After several earlier attempts, in response to recommendations *Insert photo MAL/TN 2* by State and County agencies, Lompico resumed talks in 2010 with larger neighboring water district SLVWD as to the possibility of a merger. In 2015 Lompico County Water District (LCWD) was named by the State as one of 17 small water systems in danger of running out of water resources during the drought.

Thanks to an emergency grant from the State of California, an intertie was installed connecting LCWD to SLVWD. The emergency intertie has been converted to a full-time water supply for Lompico Canyon residents. This was funded via the Assessment District funds which were available, after a successful annexation and Assessment vote was completed in 2016.

What is an Assessment District? *

Insert photo MAL/TN 3

.....and how much do we pay?

Insert photo MAL/TN 4

"Assessment districts have been in use in California for the past 150 years. Local agencies, including cities, counties, and special districts, may establish assessment districts for the purposes of financing all or a portion of the cost of certain public improvements and services. Each property within an assessment district is assessed an amount sufficient to cover the proportional cost of the special benefit that it receives from the improvements or services that are paid for by the assessment."





"The proposed assessment must be supported by a detailed engineer's report prepared by a registered professional engineer, which would, under Proposition 218 ... include identifying the parcels that will receive a special benefit from the improvements or services to be funded by the assessment, determining the proportionality of the special benefit among the parcels, and making certain the assessment levied upon a parcel is not greater than its proportionate share of the costs of the special benefit received." *From the California Debt and Investment Advisory Commission, State Treasurer's Office,

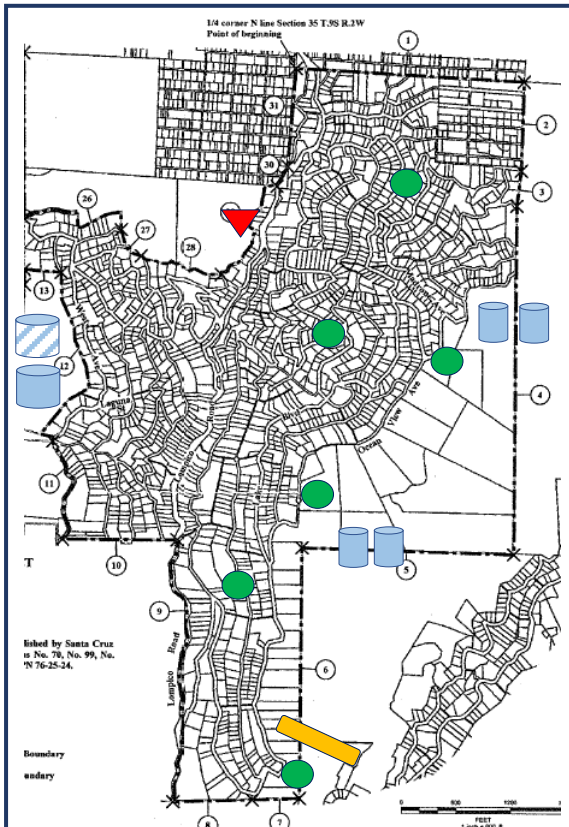
The Assessment amount for each improved parcel having a water meter in Lompico is \$587 a year for ten years, per the Engineer’s Report, calculated at 500 service connections. In addition, the Assessment District allowed the County to add a small fee to collect the revenue on property taxes, initially up to 2% of the annual installment. [ref Assessment to voters]

ENGINEER’S REPORT FOR LOMPICO ASSESSMENT DISTRICT

The Assessment required an Engineer’s Report, describing its specific purpose:

Established a fund for construction projects in Lompico Six projects:

Replacement of 6 redwood tanks	\$682,500	3 sites 
Refurbish Mill Creek treatment plant	\$105,000	
Service line and meter replacement	\$862,500	System wide
Distribution system Interconnection	\$301,000	
SCADA (automated control system)	\$441,000	System wide
Replace PRVs (pressure reducing valves)	\$358,000	6 sites 
Total Construction	\$2,750,000	
Loan Interest	\$183,734	
Total Assessment	\$2,933, 734	



Insert photo MAL/TN 5

Insert photo MAL/TN 6

LOMPICO ASSESSMENT DISTRICT

PROJECTS

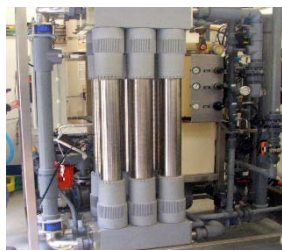
Lompico Assessment District Projects Overview

(Information provided by District Manager Rick Rogers)



Water Storage Tanks

Lompico currently has five redwood tanks in operation. Just prior to the merger a sixth redwood tank (Lewis 2) was taken out of service due to leakage. The AD provided funding for replacement of all six tanks. The tank locations are Kaski, Madrone and Lewis. Capacity of the tanks at each location will be determined by computer modeling of the water system to ensure adequate water storage for fire or disaster. The redwood tanks will be replaced with steel nut & bolt design.



Mill Creek Water Treatment Plants

The AD provides funding for upgrading and repairs to the Mill Creek Water Treatment plant. The treatment plant is a MEMCOR pressurized, pre-engineered membrane system package water treatment plant. During pre-merger inspections the system was off-line due to drought conditions. Basically there was no water in Lompico Creek. The system also experienced maintenance and electrical control issues requiring extensive staff time. Initial pre-merger planning was operating Lompico as a stand-alone water system requiring the use of all Lompico sources of supply. By the time the merger was finalized the design changed to operate Lompico as pressure zone, part of the North System and not utilizing Lompico sources of supply. Under these circumstances the treatment plant will not be needed and no AD funds will be spent on this project.



Service lines and meters

The AD provides funding for replacing all (approx. 500) of Lompico Water meters and service lines. Existing service lines have been failing due to a poor quality Polybutylene material used. At the time of the merger it was estimated that 68 service lines had been replaced by Lompico Staff. The domestic water meters needed to be replaced due to age and ability to retrofit to the District's meter reading software.

[insert photo or graphic]

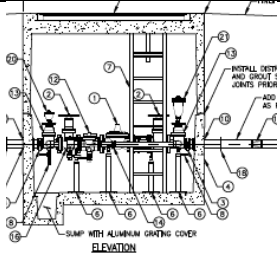
Interconnection

The AD provides for upgrading the existing Lompico/SLVWD interconnection located at the end of Zayante Drive. During the planning stages of the merger an interconnection was required due to the ongoing lack of water supply in Lompico. The 2014 drought exacerbated already difficult water supply conditions for Lompico. Lompico Water was one of 17 water systems indentified in California that could run out of water in 60-120 days. Funding assistance from the Governor's Office of Emergency Services was requested and approved to fund the construction of an emergency interconnection. The temporary intertie was only able to produce 80 gallons per minute instead of the engineered 150 gallons per minute, at a bare minimum, as funded to keep Lompico in water. The AD project provides funding to upgrade the booster pump to 150 GPM and replace the existing undersized (2 inch) main line along Zayante Drive to supply water to the booster pump.

[insert photo or graphic]

SCADA

Lompico's existing SCADA system had reached its life expectancy and was no longer functioning at the time of the merger. Staff was required to run the system by manual operations, driving to each individual tank to check water levels several times a day. As part of the merger SLVWD purchased and installed a temporary SCADA system to reduce staff time operating the water system. The AD provided funding for a complete comprehensive SCADA system which would integrate into the District's main SCADA system. To reduce costs, district staff has re-evaluated the temporary SCADA system and determined that this system will integrate into the District Main SCADA system and not require replacement. This is a substantial cost savings.



PRESSURE REGULATING STATIONS (PRV's)

Due to the step topography of the Lompico Canyon, water pressure must be regulated to avoid high water pressure damage to mainlines, fire hydrants and customer plumbing. Eight Pressure Regulators are located throughout Lompico Canyon to provide this regulation. The existing stations have reached their life expectancy and are no longer effective, resulting in pressure spikes in excess of 150 PSI. A change in the water tank replacement locations, increasing storage at key locations, has resulted in the reduction of one PRV Station. This reduces the number of replacement stations to seven.

District Manager's Report [insert Rick's write-up; rough draft of ideas to cover]

Projects commentary [links to SLVWD website?: capital project descriptions, RFP's bids, et al]

Priorities

Work completed or in progress

Timeline [gant chart?] [what needs to be done before interconnection, for example]

Future [what if assessment money runs out before all projects completed? Or ref to FAQ]

**LOMPICO ASSESSMENT DISTRICT
FINANCIAL REPORT
BUDGET & EXPENSES**

Insert photo MAL/TN

Financial Report [insert descriptions, examples:]

The loan

NBS Administrative Services

Details, Charts and graphs

[from previous draft – to be edited]

Tracking Assessment Funds Water district tracking and financial reporting follows Government Accounting Standard Board (GASB) guidelines, including No. 6 which is financial reporting standards for capital improvements and services financed by special assessments. Revenue is collected from Lompico property taxes by the County and held in a special account. Assessment funds may not be used for any purpose other than described Lompico projects of AD-16 in the Engineer's Report.

Source of Report Data Quarterly LADOC meets with the SLVWD Finance Director who presents a Quarterly Finance Report. Included is an itemization of revenue per quarter and to date with an itemization of ongoing expenses per project, including labor and materials. Reports may also include

receipts and labor timecards. The LADOC charter allows committee members to request and review all relevant data sources.

Assessment Collection Management is contracted by SLVWD to a consultant, NBS Government Finance Group via their Special Finance District (SFD) Administration service, for tasks not included by the County when collecting the Assessment on property taxes. NBS services include delinquency management, tracking parcel changes, calculations of penalties and interest, correspondence and follow up. The cost for this service has been about \$1,150.00 per quarter, charged to the Assessment District. **Description of NBS duties and fee, (\$4500 yr + exp ref addendum 6.9.16) can we see and include a copy of contract?.**

SLVWD Capital Budgets – Lompico Projects

SLVWD Capital Budgets – Lompico Projects [track per grand jury recommendation; ROUGH DRAFT data]

FY 16-17

Capital Project Summary						Overall Project Total
	Planning/ Design (8%)	CEQA (2%)	Construction (80%)	Contingency (10%)	2016-17 Total	
Lompico Service Area			\$ 168,000	\$ 16,800	\$ 184,800	\$ 184,800
Lompico Service Area Tank Replacement	\$ 75,000			\$ -	\$ 75,000	\$ 75,000
Lompico SCADA	\$ 2,500		\$ 25,000	\$ 2,750	\$ 30,250	\$ 30,250

Fiscal Year 2016/2017	
Water Enterprise Fund	\$ 3,025,850
Lompico Assessment District	\$ 354,050
Sewer Enterprise Fund	\$ 400,000
TOTAL \$ 3,779,900	

FY 17-18

#	Project	Funding Type	Spent in Prior FY	FY1718 Budget	Future FY Projection	Project Cost
Lompico Assessment District						
20	Lompico Lewis Tank Replacement	Assessment District		25,000	175,000	200,000
21	Lompico PRV Replacements	Assessment District		50,000	150,000	200,000

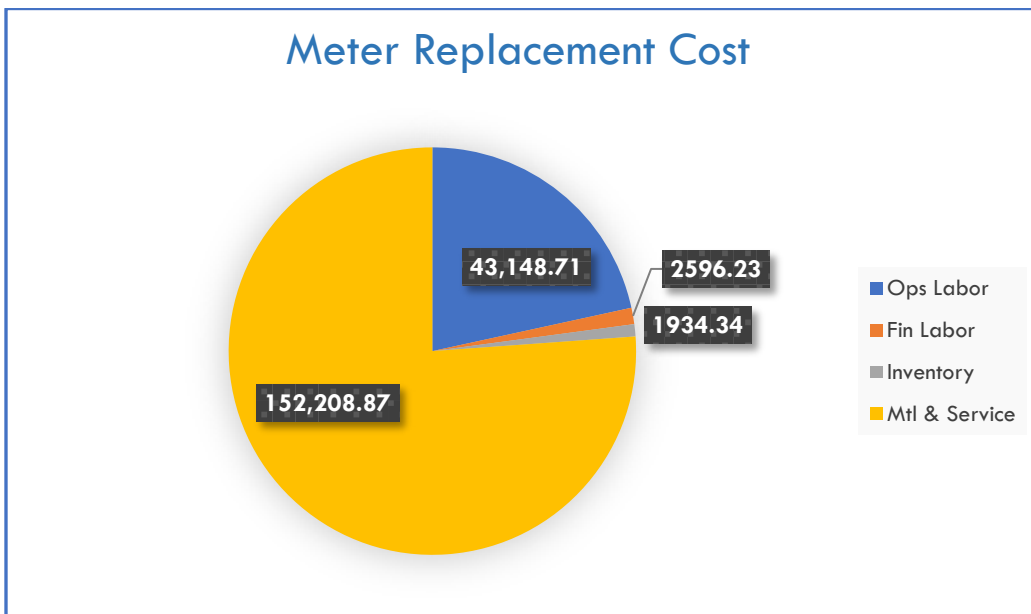
FY 18-19

#	Project	Funding Type	Spent in Prior FY(s)	FY1819 Budget	Future FY Projection	Total Project Cost
Lompico Assessment District						
30	Service Line Replacements	LOMPICO AD	25,000	150,000	489,600	664,600
31	PRV's	LOMPICO AD	50,000	90,000	218,000	358,000
32	Interconnection Booster	LOMPICO AD		45,000	256,000	301,000
33	Lewis Tank	LOMPICO AD		34,000	193,334	227,334

Detail of Expenses [rough draft example]

LOMPICO METER CHANGEOUT WORK ORDER #129

Labor & Overhead 22%	\$43,744.94	Operations Dept \$41,148.71	Payroll \$ total per 5- pay periods Aug 17 to Oct 26, 2017 Labor \$27,728.24 OH \$13,420.47
		Finance Dept \$2,596.23	80 hrs @ \$23.88 Labor \$1,910.40; OH \$685.83
Materials	\$154,143.21	\$1,934.34	inventory
78%		\$152,208.87	Materials and Service: Rent vac truck \$1,843.94 5/8 meter w/lid approx. \$230 ea
TOTAL	197,888.15	Average cost each	Installed at 500 meters is +-\$396.



Committee Analysis:

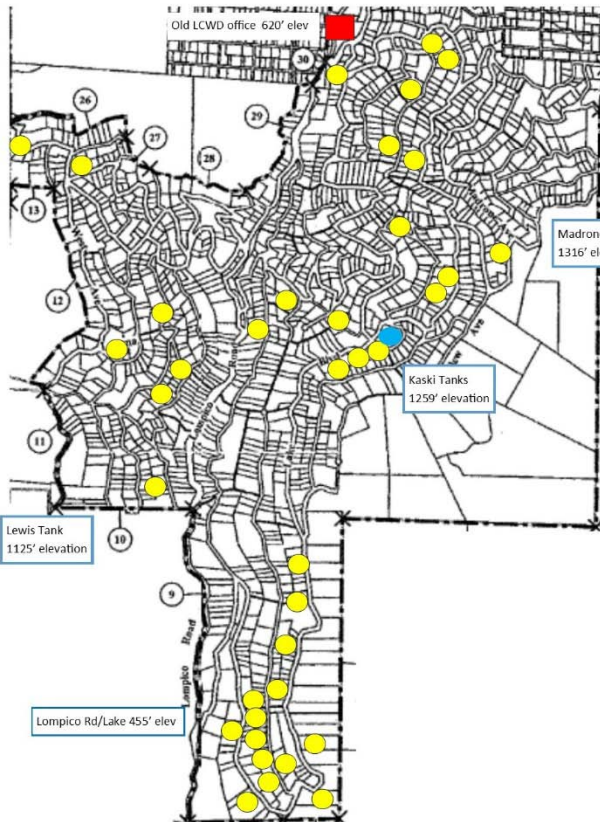
[Planned and staged, efficiently done, meets the estimate]

[add other project expense itemizations and analysis/opinion: tanks, PRVs...see chart]

[chart reference for expenditure details] [requested itemized project exp from S. Hill, or get from Q's]

ASSESSMENT DISTRICT PROJECTS	List or describe vendor/work/bid STATUS	Assessment Estimate	Prelim work Total
Install 3 New Bolted Steel Tanks		\$ 682,500	
Lewis Tank(s) – replace two	Engineering and consulting completed-awaiting RFQ response		\$ 8,689
Madrone Tank(s) – replace two	Engineering and consulting completed		\$ 8,689
Kaski Tank(s) -replace two	Engineering and consulting completed		\$ 8,689
Refurbish Mill Creek WTP	Project cancelled - see details	\$ 105,000	\$ -
Service Line and Meter Replacements		\$ 862,500	
Meter Program	Complete		\$ 197,888
Service Line/Lateral	Ongoing – as needed		\$ 43,050.44
Distribution System Interconnect	Ongoing - see details	\$ 301,000	\$ -
SCADA System	Temporary- see details	\$ 441,000	\$ 19,540

Service Line/Lateral replacements were done on an as-needed basis as they failed:



Total replaced to date: 37

Original material replaced:

Blue poly pipe: 35

Copper pipe : 2

Location:

West side: 7

East side: 30

38% (14) are in Kaski zone (Visitar/Lake)

Cost:

Range of cost to replace: \$635 to \$1610

Total cost for 37 replacements: \$43,050.44

=Average cost each \$1164

Committee Analysis and Recommendations

[insert statements as per Charter and grand jury report] example:

Assessment District expenditures have been reviewed by LADOC and, to the best of our knowledge, are in compliance with the requirements as set forth in the Assessment District ballot measure Engineer's Report. The Annual Report presented includes an itemization and summary of all prior fiscal year Assessment District revenues and expenditures as provided by the district, from June 1, 2016 to June 30, 2019. At the time of this report, no loans have been taken out for Assessment District projects.

The Committee and district are in agreement that a third party expert in Assessment Districts is needed, as well as training on assessments and oversight for members, staff and the board.

The Committee would like the district to move forward on securing a loan, as in the Assessment Engineer's Report, to expedite all projects being completed in a timely manner.

The Committee recommends the SLVWD website include an area for the AD with details on projects and ongoing updates on progress and expenses, per GJ recommendation [district website in process of being redesigned]

The Committee recommends more frequent district website public reports or posts on AD finances, in addition to Annual Report.

The Committee commends current staff and board for their support.

The Committee thanks the 2017-18 Grand Jury for their investigation and recommendations, which have been instrumental in defining and supporting LADOC duties.

Frequently Asked or Unanswered Questions

[examples]

From the Grand Jury investigation

What is/about

- the process for changing or removing projects from the Engineer's Report list
- the possibility of reducing Assessment District collections in later years
- ending the Assessment District early
- whether the AD is collecting interest on a future loan
- whether obtaining a loan against the AD is required
- using the \$183,000 collected for loan interest for other AD expenses
- returning unused funds to the ratepayers
- postponing the completion of Assessment District capital projects beyond ten years

Other questions from previous meetings:

- Will SLVWD come back to Lompico ratepayers for more money if the original assessment no longer covers the cost to complete projects in the original plan?
- Can projects be dropped from the original Engineers report list? What is the process, and what happens to those funds?
- If SLVWD does not intend to use the treatment plants, can they be sold and the money used for Assessment District projects, or returned to Lompico ratepayers?
- Will the district plan to sell the old growth redwood from replaced tanks?
- Is the metal recycle payback value of removed materials (old meters, fittings, etc) being put back in the Assessment District fund?
- Does the present intertie meet flow requirements of the State? (ref BOD agenda 9.20.18 item 13.1(page 229) Permit Change and Report from State Water Resources)

LOMPICO ASSESSMENT DISTRICT

**BACKGROUND
INFORMATION**

Insert photo MAL/TN

Lompico Citizen Oversight Committee

A Lompico Citizens Oversight Committee was an element proposed and approved by SLVWD to be included in LAFCo “merger” Resolution 953-A. The SLVWD board reviewed applications and appointed the first five Lompico members in July of 2016. The first meeting was held at the old LCWD office in August. The original description/purpose of the committee written by SLVWD staff and published in the SLVWD stated "The Committee shall be responsible to review matters of stewardship, design, construction, replacement, and repair of the Assessment District facilities and property" . This was revised in 2017 to include only assessment district fund and project oversight, and the name changed to LADOC, for Lompico Assessment District Oversight Committee.

The committee faced challenges of district support throughout 2017. At the October 19, 2017, meeting the District Manager proposed making the following changes to the Board of Directors Policy Manual impacting LADOC: redefine and differentiate LADOC as a "Public Committee" whose only purpose is to deliver and receive information, who therefore will have no need to produce and publish minutes, who will meet once annually at the time and place specified by the Board, the Board will appoint the Chair and Vice Chair of the LADOC. Fortunately, the October 19, 2017 meeting was well attended. Three LADOC members attended the meeting and spoke out against these aggregious changes. Many members of public and all three public members of the other SLVWD Committes spoke out against the changes. Only one member of the Board spoke in favor of the changes and she also admitted that she had assisted the District Manager in preparing the recommendation. No action was taken on the proposal.

In the meantime, months earlier LADOC had approached and met with members of the Finance Committee to request assistance in developing the Finance Report which had been promised by the District Manager since the very first meeting. The Chair of LADOC met with the Chair of the Finance Committee (also a Board Director) and an agreement was struck for the LADOC to hold a meeting once Quarterly at the Boulder Creek Operations Building with both the Finance Director and a Board Member (preferably the Chair) in attendance to present the Lompico Assessment District Quarterly Finance Report.

It was also agreed that LADOC could continue to meet as often as they deemed necessary to meet their obligations.

A series of resignations throughout 2018 resulted in lack of a quorum and irregular meetings. With the exception of one missed meeting, due to the lack of a quorum, LADOC has met regularly on a quarterly basis since November 2017, with greatly improved district support under the new District Manager and board of directors.

A new Charter was written by the committee in January 2019, and adopted by the Board, to meet the recommendations of a Grand Jury investigation and report released May 2018.

Members of the Committee 2016 to present:

April Crittenden	July 2016 – Feb 2018	Secretary 2016-2018
John Grunow	July 2016 – April 2018	
Lydia Hammack	July 2016 – June 2018	Chair Jan 2018-June 2018
Ruth Shaw	July 2016 – June 2018	Vice chair Jan 2018-June 2018
Toni Norton	July 2016 to present	Chair July 2016-Jan 2018; Nov 2018 to present
Andrew Rippert	April 2018 – June 2018	
Mary Ann LoBalbo	April 2018 to present	Vice Chair Nov 2018 to present
Jennifer Gomez	Sept 2018 to April 2019	
Dennis Lynch	Sept 2018 – Oct 2018	
John Wright	Sept 2018 to April 2019	
Debra Loewen	January 2019 to present	
Norm Hagen	August 2019 to present	

Members of the Committee, shown above, front to back, left to right are

[Or a picture of the old water district office?]

Insert photo MAL/TN 7

More history of the Lompico merger and LADOC follows in the next two sections: 2017-18 Grand Jury investigation and Findings, and References and Resources.

2017-18 Grand Jury Findings and Recommendations

San Lorenzo Valley Water District

“Encouraging the Flow of Information to the Public”

Published May 31, 2018

Summary

“Since mid-2016 the San Lorenzo Valley Water District (SLVWD or District) has struggled to address public concerns about a number of controversial issues. The administration of the Lompico surcharge and capital projects, use of glyphosate in the watershed, and a lawsuit involving a former Board member, were among the issues that drew sharp criticism from citizen groups and the press. The criticisms tested the capacity of the District’s representatives to maintain productive and civil interactions with the community and, at times, with one another.

Although the Lompico surcharge has now been eliminated, other disputes and communication challenges remain. Issues such as the District’s handling of legal matters, management of the Lompico Assessment District and capital projects, and support for the Lompico citizen oversight committee continue to be divisive. In addition, District changes to meeting practices in 2017 have reduced public access to the debate and decision-making process and compromised the community’s understanding of the issues.”

“Better communication on difficult matters, an informed and effective Assessment District oversight committee, and an unwavering commitment to public access, will enable greater transparency and may restore trust and foster better relationships within the SLVWD community.”

Three Findings and Four Recommendations regarding Lompico

See the complete Grand Jury Report Online:

www.co.santa-cruz.ca.us/Portals/0/County/GrandJury/GJ2018_final/SLVWDAndThePublic.pdf

F1	The lack of effective communication between the District and the community concerning the administration of the Assessment District has caused public concern regarding the timing and implementation of the Assessment District projects.
F2	The District has not provided adequate authority, guidance, training or support to the Lompico Assessment District Oversight Committee (LADOC) to ensure that the committee can fulfill its assessment district oversight responsibilities, thus reducing transparency and accountability to the public.
F3	Lack of effective District communication practices has reduced public access to the decision-making process and contributed to acrimony and on-going relationship challenges with the community, causing stress on elected officials and staff, as well as frustration among ratepayers.
R1	LADOC should produce an annual report detailing the status of the Assessment District revenues and expenditures (F1, F2)
R2	The District should schedule annual public study sessions or workshops to review the LADOC annual report and discuss the administration of the Assessment District (AD), in order to provide in-depth information to the public about the timing, funding, and execution of the AD projects. (F1, F3)
R3	The Board and LADOC should work in concert to create a charter for LADOC that describes in detail the committee’s responsibilities and its authority to fulfill its oversight role (F2, F2)

R4	The Board should ensure that LADOC receives adequate professional, technical and administrative support from the District, as well as the authority to carry out its oversight responsibilities (F2)
R5	The District should provide formal training for all LADOC citizen committee members in governance, meeting management and the Brown Act. (F2)

Previous board response Aug 22, 2018 [edit and condense? Link?]

F1-2-3 Cites "...confusion about the mechanics of the assessment district and unaligned expectations" and "...commonly held misconceptions about assessment districts generally..." leading to poor communications.

R1 "staff will help jump start the process... by generating a template to help facilitate the first annual report" within 6 months.

R2 "We believe it is important to note that comments and questions about the implementation of AD-16 projects, including priority, timeline, bidding and design considerations etc., are within the purview of the Engineering Committee and ultimately the Board. We encourage members of the public to bring these types of issues to the Engineering Committee rather than LADOC."

R3 Within 6 months we will revise the LADOC Charter to replace its current Charter. A draft will be presented to LADOC for its review and comment and to the Board for approval.

R4 We "believe the district has professional expertise up to the task of locating appropriate resources..." Within 6 months, we will make governance, meeting management, and Brown Act training available to all members of the Board and the District's public committee members. Within a year, we will evaluate and select a means of making such training available on a recurring or ongoing basis. For example, staff may consider creating tailored training materials for in-house use and reproduction versus hiring consultants and/or procuring online subscriptions, etc.

R6 "training on key topics" We believe the District has professional expertise up to the task of locating or adapting existing training, or creating new materials as necessary.

Committee comment: While an Ad Hoc committee of two board members was created June 27, 2018 for the above, no actions were taken beyond writing the initial response to the Grand Jury.

Current board response to GJ follow-up June 2019 [under construction]

[May summarize and provide link here and/or in Reference section at end of report]

Excerpts from Grand Jury report. Footnote [#] references are found in the Grand Jury report.

LCWD-SLVWD Merger

Financial problems, an aging infrastructure, and the threat of state intervention obliged the Lompico County Water District (LCWD) to look to SLVWD for help in 2013. After two years of complex negotiations, SLVWD agreed to annex LCWD if Lompico ratepayers would pass a bond issue to fund infrastructure improvements, and agree to pay a surcharge to cover extra costs related to integrating Lompico operations into SLVWD. The conditions were laid out formally in Resolution 953-A, which all parties refer to as the "merger agreement." Similarly, while the transaction is more correctly termed an annexation, all parties refer to it as the "merger."

A bond issue to provide SLVWD with immediate funding for the Lompico infrastructure projects failed by a narrow margin in 2015. The parties then agreed to the formation of an assessment district as a "similar revenue instrument" which would collect the required funds over a 10-year period. In addition, the parties

retained the requirement that SLVWD would create a “Lompico oversight committee.”[3] The assessment district passed in a new ballot measure in March 2016, clearing the way for the merger on June 1, 2016.

Another condition of the merger, the 10-year Assessment District, provided \$2.75 million to fund a set of capital improvement projects specified in the accompanying Engineer’s Report.[12] It also provided for the collection of an additional \$183,000 for interest payments on anticipated loans taken against future Assessment District collections. The Engineer’s Report lists the Lompico capital improvement projects and the estimated cost of each project. It contains few other details about the projects or their implementation.

Since the merger, District representatives and members of the public have raised financial issues not addressed in either the merger agreement or the Engineer’s Report. These concerns include questions about what adjustments are possible under the Assessment District (AD) if some projects come in substantially over or under budget, or if the District obtains grants to fund any of the listed projects.[13] [14] Other questions have focused on the disposition of the funds collected over the years for loan interest if no loans are obtained.[15] Still other financial concerns are centered on what would happen with the designated AD funds if a listed project is later determined to be unnecessary.[16]

The construction timeline has been another area of concern. Public discussions and presentations before the merger had laid out the District’s plans to start the Lompico projects shortly after the merger, with funding coming from loans taken out against the AD.[17] [18] After the merger however, the District staff investigated loan funding and reported back that it found fewer acceptable loan opportunities than it had anticipated. Instead, the District opted for pay-as-you-go construction funding for most years, with a possible bridge loan in years four through seven.[19] [20]

Lompico ratepayers have expressed their concerns that the lower priority ranking of the Assessment District projects might lead to delays and higher construction costs, with a possible consequence that some of the AD projects might not be done.

SLVWD updated its policy manual to add the new oversight committee.[23] It then solicited applicants.[24] The policy manual described the committee’s role in broad terms: *The Committee shall be responsible to review matters of stewardship, design, construction, replacement, and repair of the District facilities and property directly related to Assessment District 2016-1, the Lompico Service Area.*[25]

LADOC’s opening meeting was August 23, 2016. At its second meeting, held on October 6, 2016, the committee decided to pursue several open questions and issues that appeared to fall under its purview. Less than two weeks later, at the October 16, 2016 Board of Directors meeting, the Board debated the reduction of LADOC’s duties,[26] by changing the description of its role to one which it said more closely resembled the wording of the merger agreement.[27] At the next Board meeting, the SLVWD policy manual was amended to read: *The Committee shall be responsible to review matters of revenue and expenses directly related to Assessment District 2016-1 projects.*[28] [29] District representatives refer to this one sentence description of the responsibilities of LADOC as the LADOC “charter.”[30] The responsibilities of LADOC continue to be the subject of discussion and disagreement.[31]

Investigation

Assessment District 2016-1

In its investigation of the Assessment District (AD), the Grand Jury found notable differences in understanding among District representatives regarding the construction strategy for the AD’s projects, including District plans in the event of project delays, cost differences, or possible changes in projects undertaken.

While the District recognizes that AD funds may be used only for the benefit of Lompico, understandings differ among decision makers on what flexibility exists under the AD as written. Varying interpretations

of the Assessment District terms have, in several cases, led to conflicting assertions made to the Grand Jury or to the public, about:

- the process for changing or removing projects from the Engineer's Report list[36]
- the possibility of reducing Assessment District collections in later years[37]
- ending the Assessment District early[38] [39] [40]
- whether the AD is collecting interest on a future loan[41]
- whether obtaining a loan against the AD is required[42]
- using the \$183,000 collected for loan interest for other AD expenses[43]
- returning unused funds to the ratepayers[44] [45]
- postponing the completion of Assessment District capital projects beyond ten years[46]

The Grand Jury has found that, nearly two years after the merger, District representatives still communicate differing views of the AD and its projects. The varying interpretations have caused public concern, and warrant serious and sustained discussion.

The District-wide Capital Improvement Program introduced in November 2017 has presented another communication challenge. The District used a priority rating system to rank each capital project, which resulted in a timetable for the execution of each project on the list. The CIP assumes, however, that there are no differences between Lompico and non-Lompico projects except for the funding source; that is, that the projects for which Lompico ratepayers pay an extra assessment have no special status.

In contrast, Lompico ratepayers contend that they gave their vote to accept the Assessment District in exchange for the District's promise to complete the specific projects listed in the Engineer's Report in an expeditious manner.[47]

At minimum, adequate guidance and support for LADOC would include:

- Comprehensive orientation prior to beginning work
- Members handbook of key documents, including items such as a LADOC charter (description of duties), the Engineer's Report, relevant resolutions,[75] [76] [77] [78] relevant District policies and procedures, project descriptions, budgets and schedules, financial reports, minutes of prior meetings, guides to Brown Act and parliamentary procedures
- Regular meeting schedule, at least quarterly

Expected duties of the oversight committee would include:

- Tracking expenditures of assessment proceeds back to the capital improvement plan
- Actively reviewing and reporting on the proper expenditure of assessment money for the Lompico construction and replacement projects listed in the Engineer's Report
- Maintaining a committee webpage with (1) detailed information about the progress of each project, (2) committee minutes, and (3) materials it has received
- Preparing and publishing an annual report for ratepayers

Expected duties of the District would include:

- Providing timely, comprehensive data to the oversight committee, including financial reports that display original budget, current budget, actual expenditures, budget balance, and approved commitments to projects to date across all fiscal years
- Providing technical and administrative assistance

LADOC meeting notes and internal emails from April 2017, and subsequent Grand Jury interviews, confirm that LADOC sought more support from the Board and staff, but the District did not have the resolve to provide effective support.[81] [82] [83]

References and Resources

1964 June 7	<p>“\$1.5 Million Water Bond Issue Before Lompico's 70 Voters” <i>Santa Cruz Sentinel</i>; Library clipping file: Lompico; Viewed on microfiche, California Room. Also may be found by title on https://cdnc.ucr.edu UCR Center for Bibliographical Studies and Research, California Digital Newspaper Collection.</p>
2010 May	<p>“Up a Creek without a Financial Paddle: The Lompico County Water District”, Grand Jury Report 2009-10. http://www.co.santa-cruz.ca.us/Portals/0/County/GrandJury/GJ2010_final/Up_the_Creek.pdf</p>
2010 July	<p>Beginning of merger discussion between districts: SLVWD District Manager Jim Mueller; BOD consists of Jim Rapoza, Terry Vierra, ; Lompico County Water District board: Lois Henry, Bill Smallman, Rick Harrington, and</p>
2010 July 8	<p>“Lompico Summary of Costs”, SLVWD announcement. “On July 8, 2010 Lompico County Water District held a meeting with representatives from the San Lorenzo Valley Water District to discuss and provide information relative to potential merger options for Lompico County Water District at the Zayante Fire Station.” http://www.slvwd.com/agendas/OTHER/2010/Lompico/Lompico%20July%208%202010.pdf</p>
2010 July 15	<p>“Lompico Water considers merger with SLV”, <i>Press Banner</i>. “Jim Mueller, San Lorenzo Valley Water District’s general manager, presented his district’s evaluation of Lompico, including what a merger would cost customers.” http://www.goldenstatenewspapers.com/press_banner/news/lompico-water-considers-merger-with-slv/article_433d800b-7aa1-561f-bf80-fa6947c3abf2.html</p>
2011 July 13	<p>“Cash strapped Lompico to consider increases to water rates”, <i>Santa Cruz Sentinel</i>. “Meanwhile, talks are continuing with the larger San Lorenzo Valley Water District, which serves 7,300 connections. Lompico, which has approximately 500 connections, is seeking members of the community to sit on a citizens advisory committee to research and prepare information related to the potential merger, with those members chosen at next week's meeting. https://www.santacruzsentinel.com/2011/07/13/cash-strapped-lompico-to-consider-increases-to-water-rates/</p>
2012 April 20	<p>“SLV Water to assist Lompico”, <i>SLV news slvnews.net</i> “The San Lorenzo Valley Water District Board voted 5-0 to assist the Lompico County Water District by providing technical and contract management assistance for a pair of studies that will help determine the costs to replace tanks and install a connection between the two systems” http://slvnews.blogspot.com/</p>
2012 Aug 8	<p>“For tiny Lompico County Water District, a huge retirement bill”, <i>Santa Cruz Sentinel</i>. “The 494-hookup district has navigated years of rocky waters and criticism of sky-high water bills. Its former district manager was charged with falsifying water reports, and since his firing the district has operated with a secretary and three technicians, one part-time. Its annual payroll is a threadbare \$130,000.” https://www.santacruzsentinel.com/2012/08/08/for-tiny-lompico-county-water-district-a-huge-retirement-bill/</p>
2013 July 25	<p>“Potential Consolidation of Lompico and San Lorenzo Valley water districts”. <i>Letter of Recommendation to Board of Supervisors from Health Services</i>, Santa Cruz County. “Operation of the District has been subject to many challenges, including: inadequate water supply, lack of any potential new water sources, aging infrastructure, leaking water tanks, inadequate treatment facilities, management issues, and disagreements among the community about how best to govern. Lompico has the highest water rates in the County, which has posed a challenge</p>

	to the many low and moderate income residents of the community.” http://sccounty01.co.santa-cruz.ca.us/BDS/Govstream2/Bdsvdata/non_legacy_2.0/Minutes/2013/20130625-599/PDF/067-1.pdf
2013 Sept 23	Water board President of the Year, California Special Districts Association, awarded to Lois Henry, Lompico County Water District: <i>County Press Release</i> https://patch.com/california/scottsvally/lompico-water-board-president-wins-state-honor
2013 Dec 4	Public Meeting at Zayante Fire Station, Presentation of Merger Options SLVWD-LCWD http://www.santacruzlafco.org/wp-content/uploads/2015/09/Lompico-Merger-Options.pdf
2014 - 2016	Santa Cruz Local Agency Formation Commission (LAFCo) containing reports on Lompico County Water District. https://www.santacruzlafco.org/reports/ and links. Feb 2014 presentation on draft merger options: http://santacruzlafco.org/Library/Lompico%20Merger%20Options%20Dec%205.pdf
2014 Jan 30	“California drought: communities at risk of running dry”, <i>San Francisco Chronicle</i> . State Department of Public Health lists Lompico County Water District among 17 small communities throughout the State likely to run out of water within 100 days; requirements to cut water use by 30% during the drought. https://www.sfgate.com/news/article/California-drought-communities-at-risk-of-5184906.php
2014 Feb 4	“What happens when a town runs out of water?”, <i>Newsweek</i> . “For now, Lompico has enough water to limp by. But [LCWD Chair Lois] Henry says one of the town’s three wells has recently been cutting out, reducing even further the approximately 35 gallons per minute the district is able to pump to its 494 water hookups. The water supply is so precarious that, Henry says, Lompico is one water main break or major fire away from disastrously low levels.” https://www.newsweek.com/what-happens-when-town-runs-out-water-227929
2014 Feb 14	SLVWD-Lompico Intertie Agreement. Board of Directors agenda packet Feb 20, 2014. http://slvwd.com/agendas/Full/2014/02-20-2014/Item%208b.pdf
2014 April 18	“Bad week as Lompico loses two of its three water wells to motor damage” <i>KION news</i> . “Not only is Lompico in a phase three rationing stage-- which means no water use between specified hours and no outdoor irrigation-- but with two out of their three wells went down.. ,’it means we have a lot of work to do’ ” https://www.kion546.com/news/santa-cruz-county/bad-week-as-lompico-loses-two-of-its-three-water-wells-to-motor-damage/66224358
2014 May 4	“Lompico emergency pipeline completed fears eased “, <i>Santa Cruz Sentinel</i> . http://www.santacruzsentinel.com/general-news/20140504/lompico-emergency-pipeline-completed-fears-eased
2015 Feb	Measure N: Bond Issue, Parcel Tax and Appropriations Limit Increase, Santa Cruz Community Facilities District No. 2 https://ballotpedia.org
2015 Feb 27	“Lompico water bond fails by one vote”, <i>Santa Cruz Sentinel</i> . “Of the 516 votes, 343 backed the measure — 66.47 percent — and 173 voted against it — 33.53 percent.” Total voter turnout was 69%, “which is a good turnout when it comes to elections but what happened to the other 31 percent of the people?”- Gail Pellerin, County Clerk, on why every vote counts. http://www.santacruzsentinel.com/20150227/lompico-water-bond-fails-by-one-vote
2015 Aug 20	SLVWD board memo to approve Lompico Assessment District and recommitting to merger. Board agenda packet. http://www.slvwd.com/agendas/Full/2015/8-20-2015/10c.pdf

2015 Aug 24	Commentary on SLVWD-Lompico merger, <i>Fifth District Supervisor Bruce McPherson</i> on change to Assessment District for funding mechanism http://supervisorbrucecmpherson.org/san-lorenzo-valley-water-district-to-merge-with-the-lompico-water-district/
2015 Nov 25	County Board of Supervisors approve Lompico Assessment District With letter of recommendation from County Health Services, after failure of bond vote and granting of one year extension by LAFCo: "LCWD now proposes to form an assessment district within its boundaries, in order to finance the infrastructure upgrades required to complete the annexation to SLVWD. This financing method has broader support in the community than the CFD had, and is expected to be approved by Lompico property owners." http://sccch.com/Portals/6/Env_Health/water_resources/WAC/WAC%20Meeting%202015%2012%209/J5.pdf
2016 March 16	Assessment District mail-in vote deadline
2016 March 17	"Assessment District vote count delayed", <i>Santa Cruz Sentinel</i> . https://www.santacruzsentinel.com/2016/03/17/lompico-water-vote-count-stalled-until-may-4/
2016 May 5	"Lompico votes for merger with San Lorenzo Valley Water District" <i>Santa Cruz Sentinel</i> . "Dealing with increasing stringent state regulations was a challenge for Lompico, limited in revenue with 500 customers compared to 8,800 in the San Lorenzo Valley Water District." "This is terrific, a tremendous ending to a three-year process," said county Supervisor Bruce McPherson." https://www.santacruzsentinel.com/2016/05/05/lompico-votes-for-merger-with-san-lorenzo-valley-water-district/
2016 June 1	"Lompico merger with San Lorenzo Valley Water District is complete", <i>Santa Cruz Sentinel</i> . The Assessment District was "approved by voters 287-74 on May 4...Lompico residents with one hookup will pay \$5,786 over 10 years, about \$48 per month, paying in property tax bills mailed twice a year." "Applications are being sought for the five-member Lompico Oversight Committee." http://www.santacruzsentinel.com/article/NE/20160601/NEWS/160609982
2016 June 2	"Merger Official after Assessment District vote", <i>Santa Cruz County Press Release</i> . "In May, 79.5 percent of District customers voted in favor of a merger." http://www.co.santa-cruz.ca.us/Portals/0/County/CAO/press%20releases/06022016Lompico.pdf
2017 Mar 11	"SLV water should drop unfair Lompico surcharge", <i>Press Banner</i> Commentary by B. Holloway. http://www.goldenstatenewspapers.com/press_banner/slv-water-should-drop-unfair-lompico-surcharge/article_1143a73e-06ef-11e7-b2f8-c773d6a70fd2.html
2017 May 11	District Manager Brian Lee informs LADOC he will no longer attend meetings, and says all further questions must be in writing to the board of directors. LADOC drafts first list of questions, included a request for a meeting with the Finance Manager to work together to design a monthly Finance Report and a quarterly meeting with Rick Rogers, the Director of Operations to obtain updates on Lompico Projects.
2017 Sept 7	"San Lorenzo Valley residents to pay most for water after rate increase", <i>Santa Cruz Sentinel</i> . "According to water district staff, the increase is necessary to fund long-overdue replacement and repair of pipes, pumps and tanks throughout the widespread rural region. The district estimates the work to cost \$30 million over the next 10 years." http://www.santacruzsentinel.com/environment-and-nature/20170927/san-lorenzo-valley-residents-to-pay-most-for-water-after-rate-increase
2017	LADOC chair memo to the Board of Directors: "The committee has been meeting for fifteen

Oct	<i>months and has not yet been provided with a report that would assist us in this task [review of expenses].”</i>
2017 Oct 19	BOD meeting. District Manager and director Margaret Bruce propose to either eliminate the Lompico Assessment District Oversight Committee (LADOC) or reduce it to meeting “no more than once a year”.
2017 Nov	First financial reports? Per Toni
2018 Jan	First financial reports received by LADOC at a meeting: included water meter changeouts completed in June 2016, and a temporary SCADA (control system) installed in August of 2016.
2018 Feb	End of LADOC monthly meetings, changed to quarterly. A series of resignations resulted in periods having a lack of quorum, with meetings thereafter only in May and November 2018.
2018 May 31	“Encouraging the Flow of Information to the Public”, <i>Santa Cruz County Grand Jury report</i> http://www.co.santacruz.ca.us/Portals/0/County/GrandJury/GJ2018_final/SLVWDAndThePublic.pdf
2018 May 31	“San Lorenzo Valley Water District challenged by grand jury findings”, <i>Santa Cruz Sentinel</i> . “The San Lorenzo Valley Water District since 2016 has struggled to address divisive issues, management of Lompico Assessment District capital projects and support for the Lompico Citizen Oversight Committee, the Santa Cruz County civil grand jury reported Thursday.” https://www.santacruzsentinel.com/2018/05/31/san-lorenzo-valley-water-district-challenged-by-grand-jury-findings/
2018 June 27	Special meeting: SLVWD BOD agenda item 3a (pgs 4-43) Presentation of Grand Jury report to the board and formation of an Ad Hoc committee of directors Baughman and Hayes, to draft a board response to the Grand Jury; Ad Hoc amended to fulfill changes proposed. https://www.slvwd.com/agendas/OTHER/2018/SpecBoDAgenda%206.27.18%20with%20Closed%20Session.pdf
2018 Aug 22	SLVWD board grand jury response. The board “agreed” with all findings and responded to all recommendations with “has not been implemented but will be implemented in the future”. http://www.co.santa-cruz.ca.us/Portals/0/County/GrandJury/GJ2018_final/SLVWDAndThePublic_BoD_Response.pdf
2018 Sept 20	BOD regular meeting, agenda item 13.1 SWRCB Permit Amendment [adding Lompico and Manana Woods to SLVWD system] and Supplemental Engineering Report on conditions in Lompico re: redwood tank replacements, water testing, minimum flow requirements of intertie. https://www.slvwd.com/agendas/Full/2018/9-20-2018/BoD%20MEETING%20AGENDA%209.20.18.pdf
2018 Oct 25	“Familiar face to lead SLV”, <i>Press Banner</i> ; on appointment of Rick Rogers as District Manager, after serving as interim manager since Brian Lee resigned in August. http://www.goldenstatenewspapers.com/press_banner/news/a-familiar-face-to-lead-slvwd/article_1259c7e6-d87d-11e8-b959-6b5557a6560d.html
2018 Nov 29	“Fultz, Henry, Swan elected to SLVWD BoD”, <i>Press Banner</i> . “[Lois] Henry, long-time resident of Lompico,”...”served eight years on the board of directors of the Lompico Water District from 2008 to 2016 through the merger with SLVWD. http://www.goldenstatenewspapers.com/press_banner/news/fultz-henry-swan-elected-to-slvwd-bod/article_65fca5ec-f409-11e8-bbb2-5773f02afbb4.html
2019 Jan-Feb	LADOC new charter detailing duties of the committee and district support was written by the committee in a series of workshops; approved and adopted by the Board on March 21. BOD agenda 3.21.19, New Business, item 5B (pages 118-125). http://www.slvwd.com/agendas/Full/2019/3-21-2019/BoD%20MEETING%20AGENDA%203.21.19%20with%20backup.pdf

2019 April-July	LADOC workshops on preparation of first Annual Report. Approved by the board on – [link]
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Notes on working copy draft 6.10

Edits/add

- Photos: Toni and Mary Ann
- Mission Statement: Toni to update
- Charter: add link [added to reference list]
- Overview: update financials to June 30 [S.Hill Q4 1819]
- Assessment District vote document: find
- Engineer's report: link to entire report
- Project Overview: Rick will write short descriptions; refer to more detailed descriptions online?
- Photo: news photo PR when intertie was under construction – Rick provided – Mary Ann to review
- The loan: update on status, write short description
- NBS: write short description, and expense itemization here or under project expense detail
- Accounting: write short description of money flow; account earns interest -how much current rate?
- Capital budget history: grand jury says LADOC is to track projects to budgets
- Itemizing expenses per project (including NBS here, or above); chart? Graph? Printout report from S.Hill per project to date?
- Map service line replacements -in progress, Debbie
- Photo: all LADOC members to date
- Committee analysis and statement for this report; add paragraph from committee; presented by?
- Grand Jury: Leave summary for background; edit board response/add links?
- FAQs: review, edit, add
- Timeline of Activity: eliminated section and included highlights in References and Resources.
- Photo: group when the merger agreement was signed, Press release- locate?
- Board history: adding DM and boards by date to Reference list in background
- References: additions, ie: full Engineer's Report (on lafco site?); links to project bids et al SLV site; links to board meeting agenda items on AD-16, ref: Resource list
- Index to LADOC meetings and BOD meetings referencing AD-16 projects or LADOC [in progress] or add summaries to Resource list by date

REVIEW

- Input from the public
- Suggestions by staff























August 14, 2019

Request for item to be placed on the August quarterly meeting agenda

Lompico Assessment District Oversight Committee

Thank you – Debra Loewen

1. Consideration of the LADOC committee to recommend posting of a summary LADOC quarterly report in the next SLVWD board agenda packet following each regularly scheduled LADOC quarterly meeting.

Background: The Lompico Assessment District Oversight Committee (LADOC) receives a quarterly report from the Finance Manager on revenue and expenses relating to the Assessment District. The committee is directed to review and file the report as informational material only. The first two pages of the report are 1) a Memo with a bullet point overview and summary narrative description of the current state of the assessment district, and 2) a spreadsheet showing current quarterly expenses, plus a summary of fiscal year expenses per project from inception. An example is pages 3 and 4 of the latest LADOC agenda, the Q31819 report dated May 28, 2019. Following those pages are detailed subset reports of current project expenses and invoices, not to be included in the board packet, but provided to LADOC for review.

LADOC was formed in 2016 and is composed of five members from Lompico in accordance with the merger agreement. From time to time LADOC has not had a quorum due to absences or vacancies, and meetings became irregular with a resulting delay in presentation of quarterly reports. It is anticipated that the committee will likely experience these same conditions over the course of the estimated seven years remaining on the Lompico Assessment.

Recommendation: It is proposed that LADOC approve a motion to have a 2-page portion of their quarterly report consisting of the Memo page one and spreadsheet page two summary, addressed to the board of directors and included in all future water district board agenda packets as a separate financial reporting item. This is to take place at the water district board meeting immediately following the regularly scheduled LADOC quarterly meeting, whether or not the LADOC meeting takes place.

The purpose of this posting will be to 1) fulfill greater transparency to the board and public, and 2) allow uninterrupted, timely quarterly Lompico Assessment district report posting.

This action in no way reduces the mission and purpose of LADOC in review of all quarterly reports with backup information, and preparation of the Annual Report. Posting the quarterly report will be a benefit to maintain a continued information stream when a regularly scheduled LADOC meeting is not possible.